

# Recovery and Rebirth: The Age of the Renaissance



## CHAPTER OUTLINE

- Meaning and Characteristics of the Italian Renaissance
- The Making of Renaissance Society
- The Italian States in the Renaissance
- The Intellectual Renaissance in Italy
- The Artistic Renaissance
- The European State in the Renaissance
- The Church in the Renaissance
- Conclusion

## FOCUS QUESTIONS

- What characteristics distinguish the Renaissance from the Middle Ages?
- How did Machiavelli's works reflect the political realities of Renaissance Italy?
- What was humanism, and what effect did it have on philosophy, education, attitudes toward politics, and the writing of history?
- What were the chief characteristics of Renaissance art, and how did it differ in Italy and northern Europe?
- Why do historians sometimes refer to the monarchies of the late fifteenth century as "new monarchies" or "Renaissance states"?

**M**EDIEVAL AND RENAISSANCE HISTORIANS have argued interminably over the significance of the fourteenth and fifteenth centuries. Did they witness a continuation of the Middle Ages or the beginning of a new era? Obviously, both positions contain a modicum of truth. Although the disintegrative patterns of the fourteenth century continued into the fifteenth, at the same time there were elements of recovery that made the fifteenth century a period of significant political, economic, artistic, and intellectual change. The humanists or intellectuals of the age called their period (from the mid-fourteenth to the mid-sixteenth century) an age of rebirth, believing that they had restored arts and letters to new glory after they had been "neglected" or "dead" for centuries. The humanists also saw their age as one of great individuals who dominated the landscape of their time. Michelangelo, the great Italian artist of the early sixteenth century, and Pope Julius II, the "warrior pope," were two such titans. The artist's

temperament and the pope's temper led to many lengthy and often loud quarrels between the two. Among other commissions, the pope had hired Michelangelo to paint the ceiling of the Sistine Chapel in Rome, a difficult task for a man long accustomed to being a sculptor. Michelangelo undertook the project but refused for a long time to allow anyone, including the pope, to see his work. Julius grew anxious, pestering Michelangelo on a regular basis about when the ceiling would be finished. Exasperated by the pope's requests, Michelangelo once replied, according to Giorgio Vasari, his contemporary biographer, that the ceiling would be completed "when it satisfies me as an artist." The pope responded, "and we want you to satisfy us and finish it soon," and then threatened that if Michelangelo did not "finish the ceiling quickly he would have him thrown down from the scaffolding." Fearing the pope's anger, Michelangelo "lost no time in doing all that was wanted" and quickly completed the ceiling, one of the great masterpieces in the history of Western art.

The humanists' view of their age as a rebirth of the classical civilization of the Greeks and Romans ultimately led historians to use the word Renaissance to identify this age. Although recent historians have emphasized the many elements of continuity between the Middle Ages and the Renaissance, the latter age was also distinguished by its own unique characteristics.

## ◆ Meaning and Characteristics of the Italian Renaissance

The word *Renaissance* means "rebirth." A number of people who lived in Italy between c. 1350 and c. 1550 believed that they had witnessed a rebirth of antiquity or Greco-Roman civilization, which marked a new age. To them, the approximately 1,000 years between the end of the Roman Empire and their own era was a middle period (hence the "Middle Ages"), characterized by darkness because of its lack of classical culture. Historians of the nineteenth century later used similar terminology to describe this period in Italy. The Swiss historian and art critic Jacob Burckhardt created the modern concept of the Renaissance in his celebrated work, *Civilization of the Renaissance in Italy*, published in 1860. He portrayed Italy in the fourteenth and fifteenth centuries as the birthplace of the modern world (the Italians were "the firstborn among the sons of modern Europe") and saw the revival of antiquity, the "perfecting of the individual," and secularism ("worldliness of the Italians") as its distinguishing features. No doubt, Burckhardt exaggerated the individ-

uality and secularism of the Renaissance and failed to recognize the depths of its religious sentiment. Nevertheless, he established the framework for all modern interpretations of the Renaissance. Although contemporary scholars do not believe that the Renaissance represents a sudden or dramatic cultural break with the Middle Ages (as Burckhardt argued)—there was after all much continuity in economic, political, and social life between the two periods—the Renaissance can still be viewed as a distinct period of European history that manifested itself first in Italy and then spread to the rest of Europe. What, then, are the characteristics of the Italian Renaissance?

Renaissance Italy was largely an urban society. As a result of its commercial preeminence and political evolution, northern Italy by the mid-fourteenth century was mostly a land of independent cities that dominated the country districts around them. These city-states became the centers of Italian political, economic, and social life. Within this new urban society, a secular spirit emerged as increasing wealth created new possibilities for the enjoyment of worldly things.

Above all, the Renaissance was an age of recovery from the "calamitous fourteenth century." Italy and Europe began a slow process of recuperation from the effects of the Black Death, political disorder, and economic recession. By the end of the fourteenth and beginning of the fifteenth centuries, Italians were using the words *recovery* and *revival* and were actively involved in a rebuilding process.

Recovery was accompanied by rebirth, specifically, a rebirth of the culture of classical antiquity. Increasingly aware of their own historical past, Italian intellectuals became intensely interested in the Greco-Roman culture of the ancient Mediterranean world. This new revival of classical antiquity (the Middle Ages, after all, had preserved much of ancient Latin culture) affected activities as diverse as politics and art and led to new attempts to reconcile the pagan philosophy of the Greco-Roman world with Christian thought, as well as new ways of viewing human beings.

Though not entirely new, a revived emphasis on individual ability became characteristic of the Italian Renaissance. As the fifteenth-century Florentine architect Leon Battista Alberti expressed it: "Men can do all things if they will."<sup>1</sup> A high regard for human dignity and worth and a realization of individual potentiality created a new social ideal of the well-rounded personality or universal person (*l'uomo universale*) who was capable of achievements in many areas of life.

These general features of the Italian Renaissance were not characteristic of all Italians, but were primarily the preserve of the wealthy upper classes who constituted a small percentage of the total population. The achievements of the Italian Renaissance were the product of an elite, rather than a mass, movement. Nevertheless, indirectly it did have some impact on ordinary people, especially in the cities where so many of the intellectual and artistic accomplishments of the period were most apparent and visible.

## ◆ The Making of Renaissance Society

The cultural flowering that we associate with the Italian Renaissance actually began in an era of severe economic difficulties. The commercial revolution of the twelfth, thirteenth, and early fourteenth centuries had produced great wealth and given rise to a money economy and the development of a capitalist system. Under this system, the capital or liquid wealth accumulated by private entrepreneurs was used to make further profits in trade, industry, and banking. After three centuries of economic expansion, in the second half of the fourteenth century, Europeans experienced severe economic reversals and social upheavals (see Chapter 11). By the middle of the fifteenth century, a gradual economic recovery had begun with an increase in the volume of manufacturing and trade. Economic growth varied from area to area, however, and despite the recovery Europe did not experience the economic boom of the High Middle Ages.

### ✿ *Economic Recovery*

By the fourteenth century, Italian merchants were carrying on a flourishing commerce throughout the Mediterranean and had also expanded their lines of trade north along the Atlantic seaboard. The great galleys of the Venetian Flanders Fleet maintained a direct sea route from Venice to England and the Netherlands, where Italian merchants came into contact with the increasingly powerful Hanseatic League of merchants. Hard hit by the plague, the Italians lost their commercial preeminence while the Hanseatic League continued to prosper.

The Hanseatic League or Hansa had been formed as early as the thirteenth century, when some north German coastal towns, such as Lübeck, Hamburg, and Bremen, began to cooperate to gain favorable trading rights in Flemish cities. To protect themselves from pirates and competition from Scandinavian merchants, these and other northern towns formed a commercial and military league. By 1500, more than eighty cities belonged to the league, which had established settlements and commercial bases in many cities in England and northern Europe, including the chief towns of Denmark, Norway, and Sweden. For almost 200 years, the Hansa had a monopoly on northern European trade in timber, fish, grain, metals, honey, and wines. Its southern outlet in Flanders, the city of Bruges, became the economic crossroads of Europe in the fourteenth century since it served as the meeting place between Hanseatic merchants and the Flanders Fleet of Venice. In the fifteenth century, however, Bruges slowly began to decline. So, too, did the Hanseatic League as it proved increasingly unable to compete with the developing larger territorial states.

Overall, trade recovered dramatically from the economic contraction of the fourteenth century. The Italians and especially the Venetians, despite new restrictive pressures on their eastern Mediterranean trade from the

Ottoman Turks (see The Ottoman Turks and the End of Byzantium later in this chapter), continued to maintain a wealthy commercial empire. Not until the sixteenth century, when the overseas discoveries gave new importance to the states facing the Atlantic, did the petty Italian city-states begin to suffer from the competitive advantages of the ever-growing and more powerful national territorial states.

The economic depression of the fourteenth century also affected patterns of manufacturing. The woolen industries of Flanders and the northern Italian cities had been particularly devastated. By the beginning of the fifteenth century, however, the Florentine woolen industry was experiencing a recovery. At the same time, the Italian cities began to develop and expand luxury industries, especially silk, glassware, and handworked items in metal and precious stones. Unfortunately, these luxury industries employed fewer people than the woolen industry and contributed less to overall prosperity.

Other new industries, especially printing, mining, and metallurgy, began to rival the textile industry in importance in the fifteenth century. New machinery and techniques for digging deeper mines and for separating metals from ore and purifying them were put into operation. When rulers began to transfer their rights to underground minerals to financiers as collateral for loans, these entrepreneurs quickly developed large mining operations to produce copper, iron, and silver. Especially valuable were the rich mineral deposits in central Europe, Hungary, the Tyrol, Bohemia, and Saxony. Expanding iron production and new skills in metalworking, in turn, contributed to the development of firearms that were more effective than the crude weapons of the fourteenth century.

The city of Florence regained its preeminence in banking in the fifteenth century, primarily due to the Medici family (see the box on p. 329). The Medici had expanded from cloth production into commerce, real estate, and banking. In its best days (in the fifteenth century), the House of Medici was the greatest banking house in Europe, with branches in Venice, Milan, Rome, Avignon, Bruges, London, and Lyons. Moreover, the family had controlling interests in industrial enterprises for wool, silk, and the mining of alum, used in the dyeing of textiles. Except for a brief interruption, the Medici were also the principal bankers for the papacy, a position that produced big profits and influence at the papal court. Despite its great success in the early and middle part of the fifteenth century, the Medici bank suffered a rather sudden decline at the end of the century due to poor leadership and a series of bad loans, especially uncollectible loans to rulers. In 1494, when the French expelled the Medici from Florence and confiscated their property, the Medicean financial edifice collapsed.

### ✿ *Social Changes in the Renaissance*

The Renaissance inherited a tripartite division of society from the Middle Ages. Society was fundamentally divided into three estates: the clergy, whose preeminence was grounded in the belief that people should be guided to spir-



## Florence: “Queen City of the Renaissance”

*Florence has long been regarded by many historians as the “queen city of the Renaissance.” It was the intellectual and cultural center of Italy in the fifteenth century. In a letter written to a Venetian in 1472, Benedetto Dei, a Florentine merchant, gave a proud and boastful description of Florence’s economy under the guidance of Lorenzo de’ Medici.*

### ✿ *Benedetto Dei, Florence*

Florence is more beautiful and five hundred years older than your Venice. We spring from triply noble blood. We are one-third Roman, one-third Frankish, and one-third Fiesolan [an ancient Etruscan town three miles north-east of Florence]. . . . We have round about us thirty thousand estates, owned by noblemen and merchants, citizens and craftsmen, yielding us yearly bread and meat, wine and oil, vegetables and cheese, hay and wood, to the value of nine hundred thousand ducats in cash, as you Venetians, Genoese, Chians, and Rhoadians who come to buy them know well enough. We have two trades greater than any four of yours in Venice put together—the trades of wool and silk. . . .

Our beautiful Florence contains within the city in this present year two hundred seventy shops belonging to the wool merchants’ guild, from whence their wares are sent to Rome and the Marches, Naples and Sicily, Constantinople and Pera, Adrianople, . . . and the whole of

Turkey. It contains also eighty-three rich and splendid warehouses of the silk merchants’ guild, and furnishes gold and silver stuffs, velvet, brocade, damask, taffeta, and satin to Rome, Naples, Catalonia, and the whole of Spain, especially Seville, and to Turkey and Barbary. The principal fairs to which these wares go are those of Genoa, the Marches, Ferrara, Mantua, and the whole of Italy; Lyons, Avignon, Montpellier, Antwerp, and London. The number of banks amount to thirty-three; the shops of the cabinetmakers, whose business is carving and inlaid work, to eighty-four; and the workshops of the stonemasons and marble workers in the city and its immediate neighborhood, to fifty-four. There are forty-four goldsmiths’ and jewelers’ shops, thirty gold-beaters, silver wire-drawers, and a wax-figure maker [wax images were used in all churches]. . . . Go through all the cities of the world, nowhere will you ever be able to find artists in wax equal to those we now have in Florence. . . . Another flourishing industry is the making of light and elegant gold and silver wreaths and garlands, which are worn by young maidens of high degree, and which have given their names to the artist family of Ghirlandaio. Sixty-six is the number of the apothecaries’ and grocer shops; seventy that of the butchers, besides eight large shops in which are sold fowls of all kinds, as well as game and also the native wine called Trebbiano, from San Giovanni in the upper Arno Valley; it would awaken the dead in its praise.

itual ends; the nobility, whose privileges were based on the principle that the nobles provided security and justice for society; and the third estate, which consisted of the peasants and inhabitants of the towns and cities. This social order experienced certain adaptations in the Renaissance, which we can see by examining the second and third estates (the clergy will be examined in Chapter 13).

### ✿ THE SOCIAL CLASSES: THE NOBILITY

Throughout much of Europe, the landholding nobles were faced with declining real incomes during the greater part of the fourteenth and fifteenth centuries, while the expense of maintaining noble status was rising. Nevertheless, members of the old nobility survived and new blood infused its ranks. A reconstruction of the aristocracy was well under way by 1500.

As a result of this reconstruction, the nobles, old and new, who constituted between 2 and 3 percent of the population in most countries, managed to dominate society as they had done in the Middle Ages, serving as military officers and holding important political posts as well as advising the king. Increasingly in the sixteenth century, members of the aristocracy pursued education as the means to maintain their role in government. One noble in

the Low Countries, in a letter outlining how his son should be formally educated, stated that, due to his own lack of learning, he dared not express his opinions in the king’s council and often “felt deep shame and humiliation” at his ignorance.

In northern Europe, the fifteenth century also saw the final flourishing of chivalry. Nobles played at being great warriors, but their tournaments were now characterized less by bloodshed than by flamboyance and a display of brilliant costumes that showed off an individual’s social status.

### ✿ THE DEVELOPMENT OF A COURTLY SOCIETY IN ITALY

One of the more interesting social developments during the Renaissance was the change that occurred in Italian society. In the Early Renaissance, old noble families had moved into the cities and generally merged with the merchant middle classes to form the upper classes in these new urban societies. Consequently, Italy seemed to lose the notion of nobility or aristocracy. In the fifteenth century, this began to change as the tenor of Italian upper-class urban society became more aristocratic. Although this was especially evident in the princely states, such as



## A Renaissance Banquet

As in Greek and Roman society, the Renaissance banquet was an occasion for good food, interesting conversation, music, and dancing. In Renaissance society, it was also a symbol of status and an opportunity to impress people with the power and wealth of one's family. Banquets were

held to celebrate public and religious festivals, official visits, anniversaries, and weddings. The following menu lists the foods served at a grand banquet given by Pope Pius V in the sixteenth century.

### A Sixteenth-Century Banquet

#### First Course:

##### ❁ Cold Delicacies from the Sideboard ❁

- Pieces of marzipan and marzipan balls
- Neapolitan spice cakes
- Malaga wine and Pisan biscuits
- Fresh grapes
- Prosciutto cooked in wine, served with capers and grape pulp
- Salted pork tongues cooked in wine, sliced
- Spit-roasted songbirds, cold, with their tongues sliced over them
- Sweet mustard

#### Second Course:

##### ❁ Hot Foods from the Kitchen, Roasts ❁

- Fried veal sweetbreads and liver
- Spit-roasted skylarks with lemon sauce
- Spit-roasted quails with sliced eggplants
- Stuffed spit-roasted pigeons with capers sprinkled over them
- Spit-roasted rabbits, with sauce and crushed pine nuts
- Partridges larded and spit-roasted, served with lemon
- Heavily seasoned poultry with lemon slices
- Slices of veal, spit-roasted, with a sauce made from the juices
- Leg of goat, spit-roasted with a sauce made from the juices
- Soup of almond paste, with the flesh of three pigeons to each serving

#### Third Course:

##### ❁ Hot Foods from the Kitchen, Boiled Meats and Stews ❁

- Stuffed fat geese, boiled Lombard style and covered with sliced almonds
- Stuffed breast of veal, boiled, garnished with flowers
- Very young calf, boiled, garnished with parsley
- Almonds in garlic sauce
- Turkish-style rice with milk, sprinkled with cinnamon
- Stewed pigeons with mortadella sausage and whole onions
- Cabbage soup with sausages
- Poultry pie, two chickens to each pie
- Fricasseed breast of goat dressed with fried onions
- Pies filled with custard cream
- Boiled calves' feet with cheese and egg

#### Fourth Course:

##### ❁ Delicacies from the Sideboard ❁

- Bean tarts
- Quince pastries
- Pear tarts, the pears wrapped in marzipan
- Parmesan cheese and Riviera cheese
- Fresh almonds on vine leaves
- Chestnuts roasted over the coals and served with salt and pepper
- Milk curds
- Ring-shaped cakes
- Wafers made from ground grain

the duchy of Milan where a courtly society emerged around the duke, even in the Italian republics the behavior of the upper class took on an aristocratic appearance (see the box above).

By 1500, certain ideals came to be expected of the noble or aristocrat. These were best expressed in *The Book of the Courtier* by the Italian Baldassare Castiglione (1478–1529). First published in 1528, Castiglione's work soon was popular throughout Europe and became a fundamental handbook for European aristocrats.

In *The Book of the Courtier*, Castiglione described the three basic attributes of the perfect courtier. First, nobles should possess fundamental native endowments, such as impeccable character, grace, talents, and noble birth. The

perfect courtier must also cultivate certain achievements. Primarily, he should participate in military and bodily exercises since the principal profession of a courtier was arms. But unlike the medieval knight who had only been required to have military skill, the Renaissance courtier was also expected to have a classical education and to adorn his life with the arts by playing a musical instrument, drawing, and painting. In Castiglione's hands, the Renaissance ideal of the well-developed personality became a social ideal of the aristocracy. Finally, the aristocrat was expected to follow a certain standard of conduct. Nobles were expected to make good impressions; while being modest, they should not hide their accomplishments, but show them with grace.

But what was the purpose of these courtly standards? Castiglione said:

Therefore, I think that the aim of the perfect Courtier, which we have not spoken of up to now, is so to win for himself, by means of the accomplishments ascribed to him by these gentlemen, the favor and mind of the prince whom he serves that he may be able to tell him, and always will tell him, the truth about everything he needs to know, without fear or risk of displeasing him; and that when he sees the mind of his prince inclined to a wrong action, he may dare to oppose him . . . so as to dissuade him of every evil intent and bring him to the path of virtue.<sup>2</sup>

This ideal of service to the prince reflected the secular ethic of the active life espoused by the earlier civic humanists (see Italian Renaissance Humanism later in this chapter). Castiglione put the new moral values of the Renaissance into a courtly, aristocratic form that was now acceptable to the nobility throughout Europe. Nobles would adhere to his principles for hundreds of years as they continued to dominate European life socially and politically.

#### THE SOCIAL CLASSES: THE THIRD ESTATE OF PEASANTS AND TOWNSPEOPLE

Traditionally, peasants made up the overwhelming mass of the third estate and indeed continued to constitute as much as 85 to 90 percent of the total European population, except in the highly urbanized areas of northern Italy and Flanders. The most noticeable trend produced by the economic crisis of the fourteenth century was the decline of the manorial system and the continuing elimination of serfdom. This process had already begun in the twelfth century when the introduction of a money economy made possible the conversion of servile labor dues into rents paid in money, although they also continued to be paid in kind or labor. The contraction of the peasantry after the Black Death simply accelerated this process since lords found it convenient to deal with the peasants by granting freedom and accepting rents. The lord's lands were then tilled by hired workers or rented out. By the end of the fifteenth century, serfdom was declining, and more and more peasants were becoming legally free, although in many places lords were able to retain many of the fees they charged their peasants. Lords, then, became rentiers, and the old manorial system was replaced by a new arrangement based on cash. It is interesting to note that while serfdom was declining in western Europe, eastern Europe experienced a reverse trend. The weakness of eastern rulers enabled nobles to tie their peasants to the land and use servile labor in the large-scale production of grain for an ever-growing export market.

The remainder of the third estate centered around the inhabitants of towns and cities, originally the merchants and artisans who formed the burghers. The Renaissance town or city of the fifteenth century actually possessed a multitude of townspeople widely separated socially and economically.

At the top of urban society were the patricians, whose wealth from capitalistic enterprises in trade, indus-

try, and banking enabled them to dominate their urban communities economically, socially, and politically. Below them were the petty burghers, the shopkeepers, artisans, guildmasters, and guild members who were largely concerned with providing goods and services for local consumption. Below these two groups were the propertyless workers earning pitiful wages and the unemployed, living squalid and miserable lives. These people constituted as much as 30 or 40 percent of the urban population. In many places in Europe in the late fourteenth and fifteenth centuries, urban poverty had increased dramatically. One rich merchant of Florence wrote:

Those that are lazy and indolent in a way that does harm to the city, and who can offer no just reason for their condition, should either be forced to work or expelled from the Commune. The city would thus rid itself of that most harmful part of the poorest class. . . . If the lowest order of society earn enough food to keep them going from day to day, then they have enough.<sup>3</sup>

But even this large group was not at the bottom of the social scale; beneath them were the slaves, especially in the Italian cities.

#### SLAVERY IN THE RENAISSANCE

Agricultural slavery had continued to exist in the Early Middle Ages, but had declined for economic reasons and been replaced by serfdom by the ninth century. Although some domestic slaves remained, slavery in European society had largely disappeared by the eleventh century. It reappeared first in Spain, where both Christians and Muslims used captured prisoners as slaves during the lengthy *reconquista*. In the second half of the fourteenth century, the shortage of workers after the Black Death led Italians to introduce slavery on a fairly large scale. In 1363, for example, the government of Florence authorized the unlimited importation of foreign slaves.

In the Italian cities, slaves were used as skilled workers, making handcrafted goods for their masters, or as household workers. Girls served as nursemaids and boys as playmates. Fiammetta Adimari wrote to her husband in 1469: "I must remind you that when Alfonso is weaned we ought to get a little slave-girl to look after him, or else one of the black boys to keep him company."<sup>4</sup> In Florence, wealthy merchants might possess two or three slaves. Often, men of the household took slaves as concubines, which sometimes led to the birth of illegitimate children. In 1392, the wealthy merchant Francesco Datini fathered an illegitimate daughter by Lucia, his twenty-year-old slave. His wife Margherita, who was unable to bear any children, reluctantly agreed to raise the girl as their own daughter. Many illegitimate children were not as fortunate.

Slaves for the Italian market were obtained primarily from the eastern Mediterranean and the Black Sea region and included Tartars, Russians, Albanians, and Dalmatians. There were also slaves from Africa, either Moors or Ethiopians, and Muslims from Spain. Because of the lucrative nature of the slave trade, Italian merchants became



**WEDDING BANQUET.** Parents arranged marriages in Renaissance Italy to strengthen business or family ties. A legally binding marriage contract was considered a necessary part of the marital arrangements. So, too, was a wedding feast. This painting by Botticelli shows the wedding banquet in Florence that celebrated the marriage of Nastagio degli Onesti and the daughter of Paulo Traversaro.

involved in the transportation of slaves. Between 1414 and 1423, 10,000 slaves were sold on the Venetian market. Most slaves were females, many of them young girls.

By the end of the fifteenth century, slavery had declined dramatically in the Italian cities. Many slaves had been freed by their owners for humanitarian reasons, and the major source of slaves dried up as the Black Sea slave markets were closed to Italian traders after the Turks conquered the Byzantine Empire. Although some other sources remained, prices rose dramatically, further cutting demand. Moreover, a general feeling had arisen that slaves—the “domestic enemy” as they were called—were dangerous and not worth the effort. By the sixteenth century, slaves were in evidence only at princely courts where they were kept as curiosities; this was especially true of black slaves.

In the fifteenth century, the Portuguese had imported increasing numbers of African slaves for southern European markets. It has been estimated that between 1444 and 1505, 140,000 slaves were shipped from Africa. The presence of blacks in European society was not entirely new. Saint Maurice, a Christian martyr of the fourth century, was portrayed by medieval artists as a black knight and became the center of a popular cult in the twelfth and thirteenth centuries. The number of blacks in Europe was small, however, until their importation as slaves.

### THE FAMILY IN RENAISSANCE ITALY

The family played an important role in Renaissance Italy. Family meant, first of all, the extended household of parents, children, and servants (if the family was wealthy) and could also include grandparents, widowed mothers, and even unmarried sisters. Families that were related and bore the same surname often lived near each other and might dominate an entire urban district. Old family names, such as the Strozzi, Rucellai, and Medici, conferred great status and prestige. The family bond was a source of great security in a dangerous and violent world, and its importance helps explain the vendetta in the Italian Renaissance. A crime committed by one family member fell on the entire family, ensuring that retali-

ation by the offended family would be a bloody affair involving large numbers of people.

To maintain the family, careful attention was given to marriages, which were arranged by parents, often to strengthen business or family ties. Details were worked out well in advance, sometimes when children were only two or three, and reinforced by a legally binding marriage contract (see the box on p. 333). The important aspect of the contract was the size of the dowry, a sum of money presented by the wife’s family to the husband upon marriage. The dowry could involve large sums of money and was expected of all families. The size of the dowry was an indication of whether the bride was moving upward or downward in society. With a large dowry, a daughter could marry a man of higher social status, thereby enabling her family to move up in society; if the daughter married a man of lower social status, however, then her dowry would be smaller since the reputation of her family would raise the status of the husband’s family. Since poor families often had difficulty providing a dowry, wealthy families established societies to provide dowries for poor girls.

The father-husband was the center of the Italian family. He gave it his name, was responsible for it in all legal matters, managed all finances (his wife had no share in his wealth), and made the crucial decisions that determined his children’s lives. A father’s authority over his children was absolute until he died or formally freed his children. In Renaissance Italy, children did not become adults on reaching a certain age; instead adulthood came only when the father went before a judge and formally emancipated them. The age of emancipation varied from early teens to late twenties.

The wife managed the household, a position that gave women a certain degree of autonomy in their daily lives. Most wives, however, also knew that their primary function was to bear children. Upper-class wives were frequently pregnant; Alessandra Strozzi of Florence, for example, who had been married at the age of sixteen, bore eight children in ten years. Poor women did not conceive at the same rate because they nursed their own babies.



## Marriage Negotiations

*Marriages were so important in maintaining families in Renaissance Italy that much energy was put into arranging them. Parents made the choices for their children, most often for considerations that had little to do with the modern notion of love. This selection is taken from the letters of a Florentine matron of the illustrious Strozzi family to her son Filippo in Naples. The family's considerations were complicated by the fact that the son was in exile.*

### ✿ *Alessandra Strozzi to Her Son Filippo in Naples*

[April 20, 1464] . . . Concerning the matter of a wife [for Filippo], it appears to me that if Francesco di Messer Tanagli wishes to give his daughter, that it would be a fine marriage. . . . Now I will speak with Marco [Parenti, Alessandra's son-in-law], to see if there are other prospects that would be better, and if there are none, then we will learn if he wishes to give her [in marriage]. . . . Francesco Tanagli has a good reputation, and he has held office, not the highest, but still he has been in office. You may ask: "Why should he give her to someone in exile?" There are three reasons. First, there aren't many young men of good family who have both virtue and property. Secondly, she has only a small dowry, 1,000 florins, which is the dowry of an artisan [although not a small sum, either—senior officials in the government bureaucracy earned 300 florins a year]. . . . Third, I believe that he will give her away, because he has a large family and he will need help to settle them. . . .

[July 26, 1465] . . . Francesco is a good friend of Marco and he trusts him. On S. Jacopo's day, he spoke to him discreetly and persuasively, saying that for several months he had heard that we were interested in the

girl and . . . that when we had made up our minds, she will come to us willingly. [He said that] you were a worthy man, and that his family had always made good marriages, but that he had only a small dowry to give her, and so he would prefer to send her out of Florence to someone of worth, rather than to give her to someone here, from among those who were available, with little money. . . . We have information that she is affable and competent. She is responsible for a large family (there are twelve children, six boys and six girls), and the mother is always pregnant and isn't very competent. . . .

[August 31, 1465] . . . I have recently received some very favorable information [about the Tanagli girl] from two individuals. . . . They are in agreement that whoever gets her will be content. . . . Concerning her beauty, they told me what I had already seen, that she is attractive and well-proportioned. Her face is long, but I couldn't look directly into her face, since she appeared to be aware that I was examining her . . . and so she turned away from me like the wind. . . . She reads quite well . . . and she can dance and sing. . . .

So yesterday I sent for Marco and told him what I had learned. And we talked about the matter for a while, and decided that he should say something to the father and give him a little hope, but not so much that we couldn't withdraw, and find out from him the amount of the dowry. . . . May God help us to choose what will contribute to our tranquility and to the consolation of us all.

[September 13, 1465] . . . Marco came to me and said that he had met with Francesco Tanagli, who had spoken very coldly, so that I understand that he had changed his mind. . . .

[Filippo Strozzi eventually married Fiametta di Donato Adimari in 1466.]

Wealthy women gave their infants out to wet nurses, which enabled them to become pregnant more quickly after the birth of a child.

For women in the Renaissance, childbirth was a fearful occasion. Not only was it painful, but it could be deadly; as many as 10 percent of mothers died in childbirth. In his memoirs, the Florentine merchant Gregorio Dati recalled that three of his four wives had died in childbirth. His third wife, after bearing eleven children in fifteen years, "died in childbirth after lengthy suffering, which she bore with remarkable strength and patience."<sup>5</sup> Nor did the tragedies end with childbirth. Surviving mothers often faced the death of their children as well. In Florence in the fifteenth century, for example, almost 50 percent of the children born to merchant families died before the age of twenty. Given these mortality rates, many upper-class families sought to have as many children as

possible to ensure that there would be a surviving male heir to the family fortune. This concern is evident in the Florentine humanist Leon Battista Alberti's treatise *On the Family*, where one of the characters remarks, "How many families do we see today in decadence and ruin! . . . Of all these families not only the magnificence and greatness but the very men, not only the men but the very names are shrunk away and gone. Their memory . . . is wiped out and obliterated."<sup>6</sup>

Considering that marriages had been arranged, marital relationships ran the gamut from deep emotional attachments to purely formal ties. The lack of emotional attachment from arranged marriages did encourage extra-marital relationships, especially for those groups whose lifestyle offered special temptations. Although sexual license for males was the norm for princes and their courts, women were supposed to follow different guidelines. The



first wife of Duke Filippo Maria Visconti of Milan had an affair with the court musician and was executed for it.

The great age difference between husbands and wives that was noticeable in Italian Renaissance marriage patterns also heightened the need for sexual outlets outside marriage. In Florence in 1427–1428, the average difference was thirteen years. Though females married between the ages of sixteen and eighteen, factors of environment, wealth, and demographic trends favored relatively late ages for the first marriages of males, who were usually in their thirties or even early forties. The existence of large numbers of young, unmarried males encouraged extramarital sex as well as prostitution. Prostitution was viewed as a necessary vice; since it could not be eliminated, it should be regulated. In Florence in 1415, the city fathers established communal brothels:

Desiring to eliminate a worse evil by means of a lesser one, the lord priors . . . have decreed that the priors . . . may authorize the establishment of two public brothels in the city of Florence, in addition to the one which already exists. . . . [They are to be located] in suitable places or in places where the exercise of such scandalous activity can best be concealed, for the honor of the city and of those who live in the neighborhood in which these prostitutes must stay to hire their bodies for lucre.<sup>7</sup>

A prostitute in Florence was required to wear a traditional garb of “gloves on her hands and a bell on her head.”

## ◆ The Italian States in the Renaissance

By the fifteenth century, five major powers dominated the Italian peninsula—the duchy of Milan, Venice, Florence, the Papal States, and the kingdom of Naples. Northern Italy was divided between the duchy of Milan and Venice. After the death of the last Visconti ruler of Milan in 1447, Francesco Sforza, one of the leading *condottieri* (see Chapter 11) of the time, turned on his Milanese employers, conquered the city, and became its new duke. Both the Visconti and the Sforza rulers worked to create a highly centralized territorial state. They were especially successful in devising systems of taxation that generated enormous revenues for the government. The maritime republic of Venice remained an extremely stable political entity governed by a small oligarchy of merchant-aristocrats. Its commercial empire brought in enormous revenues and gave it the status of an international power. At the end of the fourteenth century, Venice embarked upon the conquest of a territorial state in northern Italy to protect its food supply and its overland trade routes. Although expansion on the mainland made sense to the Venetians, it frightened Milan and Florence, which worked to curb what they perceived as the expansionary designs of the Venetians.

The republic of Florence dominated the region of Tuscany. By the beginning of the fifteenth century, Flor-

C H R O N O L O G Y	
<i>The Italian States in the Renaissance</i>	
Duchy of Milan	
Viscontis	1311–1447
Sforzas	1450–1494
Florence	
Cosimo de' Medici	1434–1464
Lorenzo de' Medici	1469–1492
Peace of Lodi	1454
Beginning of Italian wars—French	
invasion of Italy	1494
Sack of Rome	1527

ence was governed by a small merchant oligarchy that manipulated the apparently republican government. In 1434, Cosimo de' Medici took control of this oligarchy. Although the wealthy Medici family maintained republican forms of government for appearance' sake, it ran the government from behind the scenes. Through their lavish patronage and careful courting of political allies, Cosimo (1434–1464), and later his grandson Lorenzo the Magnificent (1469–1492), were successful in dominating the city at a time when Florence was the center of the cultural Renaissance.

The Papal States lay in central Italy. Nominally under the political control of the popes, papal residence in Avignon and the Great Schism had enabled individual cities and territories, such as Urbino, Bologna, and Ferrara, to become independent of papal authority. The Renaissance popes of the fifteenth century directed much of their energy toward reestablishing their control over the Papal States (see The Renaissance Papacy later in this chapter).

The kingdom of Naples, which encompassed most of southern Italy and usually the island of Sicily, was fought over by the French and the Aragonese until the latter established their domination in the mid-fifteenth century. Throughout the Renaissance, the kingdom of Naples remained a largely feudal monarchy with a population consisting largely of poverty-stricken peasants dominated by unruly barons. It shared little in the cultural glories of the Renaissance.

Besides the five major states, there were a number of independent city-states under the control of powerful ruling families that became brilliant centers of Renaissance culture in the fifteenth century. These included Mantua under the enlightened rule of the Gonzaga lords, Ferrara governed by the flamboyant d'Este family, and perhaps the most famous, Urbino, ruled by the Montefeltro dynasty.

Federigo da Montefeltro, who ruled Urbino from 1444 to 1482, received a classical education typical of the famous humanist school in Mantua run by Vittorino da Feltre. He had also learned the skills of fighting, since the



MAP 12.1 Renaissance Italy.

Montefeltro family compensated for the poverty of Urbino by hiring themselves out as *condottiere*. Federigo was not only a good ruler, but a rather unusual *condottiere* by fifteenth-century standards. Although not a brilliant general, he was reliable and honest. He did not break his promises, even when urged to do so by a papal legate. His employers included two kings of Naples, three popes, and two dukes of Milan. At the same time, Duke Federigo was one of the greatest patrons of Renaissance culture. Under his direction, Urbino became a well-known cultural and intellectual center. Though a despot, he was also benevolent. It was said of him that he could walk safely through the streets of Urbino unaccompanied by a bodyguard, a feat few Renaissance rulers dared to emulate.

A noticeable feature of these smaller Renaissance courts was the important role played by women. Battista Sforza, niece of the ruler of Milan, was the wife of Federigo da Montefeltro. The duke called his wife “the delight of both my public and my private hours.” An intelligent woman, she was well versed in both Greek and Latin and did much to foster art and letters in Urbino. As a prominent *condottiere*, Federigo was frequently absent, and like earlier feudal wives, Battista Sforza was respected for governing the state “with firmness and good sense.”

Perhaps the most famous of the Renaissance ruling women was Isabella d’Este (1474–1539), daughter of the

duke of Ferrara, who married Francesco Gonzaga, marquis of Mantua. Their court was another important center of art and learning in the Renaissance. Educated at the brilliant court of Ferrara, Isabella was known for her intelligence and political wisdom. Called the “first lady of the world,” she attracted artists and intellectuals to the Mantuan court and was responsible for amassing one of the finest libraries in all of Italy. Her numerous letters to friends, family, princes, and artists all over Europe disclose her political acumen as well as a good sense of humor (see the box on p. 337). Both before and after the death of her husband Francesco, she effectively ruled Mantua and won a reputation as a clever negotiator.

The frenzied world of the Italian territorial states gave rise to a political practice that was later used on a larger scale by competing European states. This was the concept of a balance of power, designed to prevent the aggrandizement of any one state at the expense of the others. This system was especially evident after 1454 when the Italian states signed the Peace of Lodi, which ended almost a half-century of war and inaugurated a relatively peaceful era in Italy until 1494. An alliance system (Milan, Florence, and Naples versus Venice and the papacy) was then created that led to a workable balance of power within Italy. It failed, however, to establish lasting cooperation among the major powers or a common foreign policy.



**PIERO DELLA FRANCESCA, DUKE AND DUCHESS OF URBINO.** Federigo da Montefeltro and his wife, Battista Sforza, ruled the small central Italian principality of Urbino. These profile portraits by Piero della Francesca gave a realistic rendering of the two figures. Visible in the background are the hills and valleys of Urbino.

The growth of powerful monarchical states (see The “New Monarchies” later in this chapter) led to trouble for the Italians. Italy soon became a battlefield for the great power struggle between the French and Spanish monarchies. Italian wealth and splendor would probably have been inviting to its northern neighbors under any circumstances, but it was actually the breakdown of the Italian balance of power that encouraged the invasions and began the Italian wars. Feeling isolated, Ludovico Sforza, the duke of Milan, foolishly invited the French to intervene in Italian politics. The French king Charles VIII (1483–1498) was eager to do so and in 1494, with an army of 30,000 men, advanced through Italy and occupied the kingdom of Naples. Other Italian states turned to the Spanish for help, and Ferdinand of Aragon indicated his willingness to intervene. For the next fifteen years, the French and Spanish competed to dominate Italy. Beginning in the decade of the 1510s, the war was continued by a new generation of rulers, Francis I of France and Charles I of Spain (see Chapter 13). This war was part of a long struggle for power throughout Europe between the Valois and Habsburg dynasties. Italy was only a pawn for the two great powers, a convenient arena for fighting battles. The terrible sack of Rome in 1527 by the armies of the Spanish king Charles I brought a temporary end to the Italian wars. Hereafter, the Spaniards dominated Italy.

Although some Italians had developed a sense of national consciousness and differentiated between Italians and “barbarians” (all foreigners), few Italians conceived of creating an alliance or confederation of states that could repel foreign invaders. Italians remained fiercely loyal to their own petty states, making invasion a fact of life in Italian history for all too long. Italy would not achieve unification and nationhood until 1870.

### ✿ *The Birth of Modern Diplomacy*

The modern diplomatic system was a product of the Italian Renaissance. There were ambassadors in the Middle Ages, but they were used only on a temporary basis. Moreover, an ambassador, regardless of whose subject he was, regarded himself as the servant of all Christendom, not just of his particular employer. As a treatise on diplomacy stated: “An ambassador is sacred because he acts for the general welfare.” Since he was the servant of all Christendom, “the business of an ambassador is peace.”<sup>8</sup>

This concept of an ambassador changed during the Italian Renaissance because of the political situation in Italy. A large number of states existed, many so small that their security was easily threatened by their neighbors. To survive, the Italian states began to send resident diplomatic agents to each other to ferret out useful information. During the Italian wars, the practice of resident diplomats spread to the rest of Europe, and in the course of the sixteenth and seventeenth centuries, Europeans developed the diplomatic machinery still in use today, such as the rights of ambassadors in host countries and the proper procedures for conducting diplomatic business.

With the use of permanent resident agents or ambassadors, the conception of the purpose of the ambassador also changed. A Venetian diplomat attempted to define the function of an ambassador in a treatise written at the end of the fifteenth century. He wrote: “The first duty of an ambassador is exactly the same as that of any other servant of a government, that is, to do, say, advise, and think whatever may best serve the preservation and aggrandizement of his own state.”<sup>9</sup> An ambassador was now simply an agent of the territorial state that sent him, not the larger body of Christendom. He could



## The Letters of Isabella d'Este

Many Italian and European rulers at the beginning of the sixteenth century regarded Isabella d'Este as an important political figure. These excerpts from her letters reveal Isabella's political skills and her fierce determination. After her husband was taken prisoner by the Venetians in 1509, she refused to accept the condition for his release—namely, that her son Federico be kept as a hostage by the Venetians or the Holy Roman Emperor. She wrote to both the emperor and her husband, refusing to do as they asked.

### ❁ Letter of Isabella d'Este to the Imperial Envoy

As to the demand for our dearest first-born son Federico, besides being a cruel and almost inhuman thing for any one who knows the meaning of a mother's love, there are many reasons which render it difficult and impossible. Although we are quite sure that his person would be well cared for and protected by His Majesty [the Holy Roman Emperor], how could we wish him to run the risk of this long and difficult journey, considering the child's tender and delicate age? And you must know what comfort and solace, in his father's present unhappy condition, we find in the presence of this dear son, the hope and joy of all our people and subjects. To deprive us of him, would be to deprive us of life itself, and of all we count good and precious. If you take Federico away you might as well take away our life and state. . . . Once for all, we will suffer any loss rather than part from our son, and this you may take to be our deliberate and unchanging resolution.

### ❁ Letter of Isabella d'Este to her Husband [who had ordered her to send the boy to Venice]

If in this matter Your Excellency were to despise me and deprive me of your love and grace, I would rather endure such harsh treatment, I would rather lose our State, than deprive us of our children. I am hoping that in time your own prudence and kindness will make you understand that I have acted more lovingly toward you than you have to yourself.

Have patience! You can be sure that I think continuously of your liberation and when the time comes I will not fail you, as I have not relaxed my efforts. As witness I cite the Pope, the Emperor, the King of France, and all the other reigning heads and potentates of Christendom. Yes, and the infidels as well [she had written to the Turkish sultan for help]. If it were *really* the only means of setting you free, I would not only send Federico but all the other children as well. I will do everything imaginable. Some day I hope I can make you understand. . . .

Pardon me if this letter is badly written and worse composed, but I do not know if I am dead or alive.

*Isabella, who desires the best for Your Excellency, written with her own hand*

[Isabella's husband was not pleased with her response and exclaimed angrily: "That whore of my wife is the cause of it all. Send me into battle alone, do what you like with me. I have lost in one blow my state, my honor and my freedom. If she does not obey, I'll cut her vocal cords."]

use any methods that were beneficial to the political interests of his own state. We are at the beginning of modern politics when the interests of the state supersede all other considerations.

### ❁ Machiavelli and the New Statecraft

No one gave better expression to the Renaissance preoccupation with political power than Niccolò Machiavelli (1469–1527). He entered the service of the Florentine republic in 1498, four years after the Medici family had been expelled from the city. As a secretary to the Florentine Council of Ten, he made numerous diplomatic missions, including trips to France and Germany, and saw the workings of statecraft firsthand. Since Italy had been invaded in 1494, Machiavelli was active during a period of Italian tribulation and devastation. In 1512, French defeat and Spanish victory led to the reestablishment of Medici power in Florence. Staunch republicans, including Machiavelli, were sent into exile. Forced to give up poli-

tics, the great love of his life, Machiavelli now reflected on political power and wrote books, including *The Prince* (1513), one of the most famous treatises on political power in the Western world.

Machiavelli's ideas on politics stemmed from two major sources, his preoccupation with Italy's political problems and his knowledge of ancient Rome. His major concerns in *The Prince* were the acquisition and expansion of political power as the means to restore and maintain order in his time. Machiavelli was aware that his own approach to political power was different from previous political theorists. Late medieval political theorists believed that a ruler was justified in exercising political power only if it contributed to the common good of the people he served. The ethical side of a prince's activity—how a ruler ought to behave based on Christian moral principles—was the focus of many late medieval treatises on politics. Machiavelli bluntly contradicted this approach:

But my hope is to write a book that will be useful, at least to those who read it intelligently, and so I thought it sensible



**MACHIAVELLI.** In *The Prince*, Machiavelli gave concrete expression to the Renaissance preoccupation with political power. This slender volume remains one of the most famous Western treatises on politics. Machiavelli is seen here in a portrait by Santi di Tito.

to go straight to a discussion of how things are in real life and not waste time with a discussion of an imaginary world. . . . for the gap between how people actually behave and how they ought to behave is so great that anyone who ignores everyday reality in order to live up to an ideal will soon discover he had been taught how to destroy himself, not how to preserve himself.<sup>10</sup>

Machiavelli considered his approach far more realistic than that of his medieval forebears.

From Machiavelli's point of view, a prince's attitude toward power must be based on an understanding of human nature, which he perceived as basically self-centered: "For of men one can, in general, say this: They are ungrateful, fickle, deceptive and deceiving, avoiders of danger, eager to gain." Political activity, therefore, could not be restricted by moral considerations. The prince acts on behalf of the state and for the sake of the state must be willing to let his conscience sleep. As Machiavelli put it:

You need to understand this: A ruler, and particularly a ruler who is new to power, cannot conform to all those rules that men who are thought good are expected to respect, for he is often obliged, in order to hold on to power, to break his word, to be uncharitable, inhumane, and irreligious. So he must be mentally prepared to act as circumstances and changes in fortune require. As I have said, he should do what is right if he can; but he must be prepared to do wrong if necessary.<sup>11</sup>

Machiavelli found a good example of the new Italian ruler in Cesare Borgia, the son of Pope Alexander VI, who used ruthless measures to achieve his goal of carving out a new state in central Italy. As Machiavelli said: "So anyone who decides that the policy to follow when one has newly acquired power is to destroy one's enemies, to secure some allies, to win wars, whether by force or by fraud, to make oneself both loved and feared by one's subjects, . . . cannot hope to find, in the recent past, a better model to imitate than Cesare Borgia." Machiavelli was among the first to abandon morality as the basis for the analysis of political activity (see the box on p. 339).

Because of the ideas in *The Prince*, Machiavelli is often considered the founder of modern, secular power politics, but we should note that Machiavelli himself was primarily concerned with Italy's tragic political condition. If it hoped to free itself from the "barbarous cruelties and outrages" perpetrated by the monarchical territorial states to the north, Italy needed "someone who could bind her wounds and . . . heal her sores which long ago became infected." If any person undertook the task, "What Italian would refuse to pledge him allegiance?"<sup>12</sup> If he followed the principles enunciated in *The Prince*, he would succeed. Machiavelli's own sympathies for a republican form of government were clearly evident in *The Discourses*, a political treatise written a few years after *The Prince*. In this work, Machiavelli reflected on the many lessons people of his age could learn from examining the institutions of the Roman Republic. And yet, Machiavelli doubted whether it was possible, in the turbulent politics of his age, to establish a republic. He said in *The Discourses*: "If any one wanted to establish a republic at the present time, he would find it much easier with the simple mountaineers, who are almost without any civilization, than with such as are accustomed to live in cities, where civilization is already corrupt."<sup>13</sup>

## ◆ The Intellectual Renaissance in Italy

The emergence and growth of individualism and secularism as characteristics of the Italian Renaissance are most noticeable in the intellectual and artistic realms. Italian culture had matured by the fourteenth century. For the next two centuries, Italy was the cultural leader of Europe. This new Italian culture was primarily the product of a relatively wealthy, urban lay society. The most important literary movement we associate with the Renaissance is humanism.

### ✿ Italian Renaissance Humanism

Renaissance humanism was a form of education and culture based on the study of the classics. Humanism was not so much a philosophy of life as an educational program



## Machiavelli: “Is It Better to Be Loved than Feared?”

In 1513, Niccolò Machiavelli wrote a short treatise on political power that, justly or unjustly, has given him a reputation as a political opportunist. In this passage from Chapter 17 of *The Prince*, Machiavelli analyzes whether it is better for a ruler to be loved than feared.

### ✿ Machiavelli, *The Prince*

This leads us to a question that is in dispute: Is it better to be loved than feared, or vice versa? My reply is one ought to be both loved and feared; but, since it is difficult to accomplish both at the same time, I maintain it is much safer to be feared than loved, if you have to do without one of the two. For of men one can, in general, say this: They are ungrateful, fickle, deceptive and deceiving, avoiders of danger, eager to gain. As long as you serve their interests, they are devoted to you. They promise you their blood, their possessions, their lives, and their children, as I said before, so long as you seem to have no need of them. But as soon as you need help, they turn against you. Any ruler who relies simply on their promises and makes no other preparations, will be destroyed. For you will find that those whose support you buy, who do not rally to you because they admire your strength of character and nobility of soul, these are people you pay for, but they are never yours, and in the end you cannot get the benefit of your investment. Men

are less nervous of offending someone who makes himself lovable, than someone who makes himself frightening. For love attaches men by ties of obligation, which, since men are wicked, they break whenever their interests are at stake. But fear restrains men because they are afraid of punishment, and this fear never leaves them. Still, a ruler should make himself feared in such a way that, if he does not inspire love, at least he does not provoke hatred. For it is perfectly possible to be feared and not hated. You will only be hated if you seize the property or the women of your subjects and citizens. Whenever you have to kill someone, make sure that you have a suitable excuse and an obvious reason; but, above all else, keep your hands off other people’s property; for men are quicker to forget the death of their father than the loss of their inheritance. Moreover, there are always reasons why you might want to seize people’s property; and he who begins to live by plundering others will always find an excuse for seizing other people’s possessions; but there are fewer reasons for killing people, and one killing need not lead to another.

When a ruler is at the head of his army and has a vast number of soldiers under his command, then it is absolutely essential to be prepared to be thought cruel; for it is impossible to keep an army united and ready for action without acquiring a reputation for cruelty.

that revolved around a clearly defined group of intellectual disciplines or “liberal arts”—grammar, rhetoric, poetry, moral philosophy or ethics, and history—all based on an examination of classical authors.

The central importance of literary preoccupations in Renaissance humanism is evident in the professional status or occupations of the humanists. Some of them were teachers of the humanities in secondary schools and universities, where they either gave occasional lectures or held permanent positions, often as professors of rhetoric. Others served as secretaries in the chancelleries of Italian city-states or at the courts of princes or popes. All of these occupations were largely secular, and most humanists were laymen rather than members of the clergy.

### ✿ THE EMERGENCE OF HUMANISM

Petrarch (1304–1374) has often been called the father of Italian Renaissance humanism (see Chapter 11 on Petrarch’s use of the Italian vernacular). Petrarch had rejected his father’s desire that he become a lawyer and took up a literary career instead. Although he lived in Avignon for a time, most of his last decades were spent in Italy as the guest of various princes and city governments. With

his usual lack of modesty, Petrarch once exclaimed, “Some of the greatest kings of our time have loved me and cultivated my friendship. . . . When I was their guest it was more as if they were mine.”<sup>14</sup>

Petrarch did more than any other individual in the fourteenth century to foster the development of Renaissance humanism. He was the first intellectual to characterize the Middle Ages as a period of darkness, promoting the mistaken belief that medieval culture was ignorant of classical antiquity. Petrarch condemned the scholastic philosophy of the Middle Ages for its “barbarous” Latin and use of logic, rather than rhetoric, to harmonize faith and reason. Philosophy, he argued, should be the “art of virtuous living,” not a science of logic chopping. Petrarch’s interest in the classics led him on a quest for forgotten Latin manuscripts and set in motion a ransacking of monastic libraries throughout Europe. In his preoccupation with the classics and their secular content, Petrarch worried at times whether he was sufficiently attentive to spiritual ideals (see the box on p. 340). His qualms, however, did not prevent him from inaugurating the humanist emphasis on the use of pure classical Latin, making it fashionable for humanists to use Cicero as a model for prose and Virgil for poetry.



## Petrarch: Mountain Climbing and the Search for Spiritual Contentment

*Petrarch has long been regarded as the father of Italian Renaissance humanism. One of his literary masterpieces was The Ascent of Mt. Ventoux. Its colorful description of an attempt to climb a mountain in Provence in southern France and survey the world from its top has unwisely led some to see it as a vivid example of the humanists' rediscovery of nature after the medieval period's concentration on the afterlife. Of course, medieval people had been aware of the natural world. Moreover, Petrarch's primary interest is in presenting an allegory of his own soul's struggle to achieve a higher spiritual state. The work is addressed to a professor of theology in Paris who had initially led Petrarch to read Augustine. The latter had experienced a vivid conversion to Christianity almost 1,000 years earlier.*

### ✿ Petrarch, *The Ascent of Mt. Ventoux*

Today I ascended the highest mountain in this region, which, not without cause, they call the Windy Peak. Nothing but the desire to see its conspicuous height was the reason for this undertaking. For many years I have been intending to make this expedition. You know that since my early childhood, as fate tossed around human affairs, I have been tossed around in these parts, and this mountain, visible far and wide from everywhere, is always in your view. So I was at last seized by the impulse to accomplish what I had always wanted to do. . . .

[After some false starts, Petrarch finally achieves his goal and arrives at the top of Mt. Ventoux.]

I was glad of the progress I had made, but I wept over my imperfection and was grieved by the fickleness of all that men do. In this manner I seemed to have somehow forgotten the place I had come to and why, until I was warned to throw off such sorrows, for which another place would be more appropriate. I had better look around and see what I had intended to see in coming here. The time to leave was approaching, they said. . . . Like a man aroused from sleep, I turned back and looked toward the west. . . . one could see most

distinctly the mountains of the province of Lyons to the right and, to the left, the sea near Marseilles as well as the waves that break against Aigues Mortes. . . . The Rhône River was directly under our eyes.

I admired every detail, now relishing earthly enjoyment, now lifting up my mind to higher spheres after the example of my body, and I thought it fit to look in the volume of Augustine's *Confessions* which I owe to your loving kindness and preserve carefully, keeping it always in my hands, in remembrance of the author as well as the donor. It is a little book of smallest size but full of infinite sweetness. I opened it with the intention of reading whatever might occur to me first: nothing, indeed, but pious and devout sentences could come to hand. I happened to hit upon the tenth book of the work. . . . Where I fixed my eyes first, it was written: "And men go to admire the high mountains, the vast floods of the sea, the huge streams of the rivers, the circumference of the ocean, and the revolutions of the stars—and desert themselves." I was stunned, I confess. I bade my brother [who had accompanied him], who wanted to hear more, not to molest me, and closed the book, angry with myself that I still admired earthly things. Long since I ought to have learned, even from pagan philosophers, that "nothing is admirable besides the soul; compared to its greatness nothing is great."


I was completely satisfied with what I had seen of the mountain and turned my inner eye toward myself. From this hour nobody heard me say a word until we arrived at the bottom. These words occupied me sufficiently. I could not imagine that this had happened to me by chance: I was convinced that whatever I had read there was said to me and to nobody else. I remembered that Augustine once suspected the same regarding himself, when, while he was reading the Apostolic Epistles, the first passage that occurred to him was, as he himself relates: "Not in banqueting and drunkenness, not in chambering and wantonness, not in strife and envying; but put you on the Lord Jesus Christ, and make no provision for the flesh to fulfill your lusts."

As Petrarch said, "Christ is my God; Cicero is the prince of the language."

### ✿ HUMANISM IN FIFTEENTH-CENTURY ITALY

In Florence, the humanist movement took a new direction at the beginning of the fifteenth century when it became closely tied to Florentine civic spirit and pride, giving rise to what one modern scholar has labeled "civic humanism." Fourteenth-century humanists such as Petrarch had described the intellectual life as one of soli-

tude. They rejected family and a life of action in the community. In the busy civic world of Florence, however, intellectuals began to take a new view of their role as intellectuals. The classical Roman Cicero, who was both a statesman and an intellectual, became their model. Leonardo Bruni (1370–1444), a humanist, Florentine patriot, and chancellor of the city, wrote a biography of Cicero entitled the *New Cicero*, in which he waxed enthusiastically about the fusion of political action and literary creation in Cicero's life. From Bruni's time on, Cicero



## A Humanist's Enthusiasm for Greek

One of the first humanists to have a thorough knowledge of both Latin and Greek was the Florentine chancellor Leonardo Bruni. Bruni was fortunate to be instructed by the Greek scholar Manuel Chrysoloras, who was persuaded by the Florentines to come to Florence to teach Greek. As this selection illustrates, Bruni seized the opportunity to pursue his passion for Greek letters.

### ✿ Leonardo Bruni, *History of His Own Times in Italy*

Then first came the knowledge of Greek letters, which for 700 years had been lost among us. It was the Byzantine, Chrysoloras, a nobleman in his own country and most skilled in literature, who brought Greek learning back to us. Because his country was invaded by the Turks, he came by sea to Venice; but as soon as his fame went abroad, he was cordially invited and eagerly besought to come to Florence on a public salary to spread his abundant riches before the youth of the city [1396]. At that time I was studying Civil Law. But my nature was afire with the love of learning and I had already given no little time to dialectic and rhetoric. Therefore at the coming of Chrysoloras I was divided in

my mind, feeling that it was a shame to desert the Law and no less wrong to let slip such an occasion for learning Greek. And often with youthful impulsiveness I addressed myself thus: "When you are privileged to gaze upon and have converse with Homer, Plato, and Demosthenes as well as the other poets, philosophers, and orators of whom such wonderful things are reported, and when you might saturate yourself with their admirable teachings, will you turn your back and flee? Will you permit this opportunity, divinely offered you, to slip by? For 700 years now no one in Italy has been in possession of Greek and yet we agree that all knowledge comes from that source. What great advancement of knowledge, enlargement of fame, and increase of pleasure will come to you from an acquaintance with this tongue! There are everywhere quantities of doctors of the Civil Law and the opportunity of completing your study in this field will not fail you. However, should the one and only doctor of Greek letters disappear, there will be no one from whom to acquire them."

Overcome at last by these arguments, I gave myself to Chrysoloras and developed such ardor that whatever I learned by day, I revolved with myself in the night while asleep.

served as the inspiration for the Renaissance ideal that it was the duty of an intellectual to live an active life for one's state. An individual only "grows to maturity—both intellectually and morally—through participation" in the life of the state. Civic humanism reflected the values of the urban society of the Italian Renaissance. Humanists came to believe that their study of the humanities should be put to the service of the state. It is no accident that humanists served the state as chancellors, councillors, and advisers.

Also evident in the humanism of the first half of the fifteenth century was a growing interest in Greek. One of the first Italian humanists to gain a thorough knowledge of Greek was Leonardo Bruni, who became an enthusiastic pupil of the Byzantine scholar Manuel Chrysoloras, who taught in Florence from 1396 to 1400 (see the box above). Humanists eagerly perused the works of Plato as well as Greek poets, dramatists, historians, and orators, such as Thucydides, Euripides, and Sophocles, all of whom had been ignored by the scholastics of the High Middle Ages as irrelevant to the theological questions they were examining.

By the fifteenth century, a consciousness of being humanists had emerged. This was especially evident in the career of Lorenzo Valla (1407–1457). Valla was brought up in Rome and educated in both Latin and Greek. Even-

tually, during the pontificate of Nicholas V (1447–1455), he achieved his chief ambition of becoming a papal secretary. It was Valla, above all others, who turned his attention to the literary criticism of ancient texts. His most famous work was his demonstration that the Donation of Constantine, a document used by the popes, especially in the ninth and tenth centuries (see Chapter 8), to claim temporal sovereignty over all the west, was a forgery written in the eighth century. Valla's other major work, *The Elegances of the Latin Language*, was an effort to purify medieval Latin and restore Latin to its proper position over the vernacular. The treatise examined the proper use of classical Latin and created a new literary standard. Early humanists had tended to take as classical models any author (including Christians) who had written before the seventh century A.D. Valla identified different stages in the growth of the Latin language and accepted only the Latin of the last century of the Roman Republic and the first century of the empire.

Another significant humanist of this period was Poggio Bracciolini (1380–1459), who reflected the cult of humanism at its best. Born and educated in Florence, he went on to serve as a papal secretary for fifty years, a position that enabled him to become an avid collector of classical manuscripts. He was responsible for finding all of the writings of fifteen different authors. Poggio's best-known



literary work was the *Facetiae*, a lighthearted collection of jokes, which included a rather cynical criticism of the clergy:

A friar of Tivoli, who was not very considerate of the people, was once thundering away with many words about the detestability of adultery. Among other things, he declared that this sin was so grave that he would prefer to lie with ten virgins than with one married woman. And many of those present shared his opinion.<sup>15</sup>

Poggio and other Italian humanists were very critical of the Catholic church at times, but fundamentally they accepted the church and above all wished only to restore a simpler, purer, and more ethical Christianity. To the humanists, the study of the classics was perfectly compatible with Christianity.

## HUMANISM AND PHILOSOPHY

In the second half of the fifteenth century, a dramatic upsurge of interest in the works of Plato occurred, especially evident among the members of the Florentine Platonic Academy. This academy was not a formal school, but rather an informal discussion group. Cosimo de' Medici, the de facto ruler of Florence, became its patron and commissioned a translation of Plato's dialogues by Marsilio Ficino (1433–1499), one of the academy's leaders. Ficino dedicated his life to the translation of Plato and the exposition of the Platonic philosophy known as Neoplatonism.

In two major works, Ficino undertook the synthesis of Christianity and Platonism into a single system. His Neoplatonism was based upon two primary ideas, the Neoplatonic hierarchy of substances and a theory of spiritual love. Drawing upon the Neoplatonists of the ancient world, Ficino restated the idea of a hierarchy of substances, or great chain of being, from the lowest form of physical matter (plants) to the purest spirit (God), in which humans occupied a central or middle position. They were the link between the material world (through the body) and the spiritual world (through the soul), and their highest duty was to ascend toward that union with God that was the true end of human existence. Ficino's theory of spiritual or Platonic love maintained that just as all people are bound together in their common humanity by love, so too are all parts of the universe held together by bonds of sympathetic love.

Renaissance Hermeticism was another product of the Florentine intellectual environment of the late fifteenth century. Upon the request of Cosimo de' Medici, Ficino translated into Latin a Greek work entitled the *Corpus Hermeticum*. The Hermetic manuscripts contained two kinds of writings. One type stressed the occult sciences with emphasis on astrology, alchemy, and magic. The other focused on theological and philosophical beliefs and speculations. Some parts of the Hermetic writings were distinctly pantheistic, seeing divinity embodied in all aspects of nature, in the heavenly bodies as well as in earthly objects. As Giordano Bruno, one of the most prominent of

the sixteenth-century Hermeticists stated: "God as a whole is in all things."<sup>16</sup> For Renaissance intellectuals, the Hermetic revival offered a new view of humankind. They believed that human beings had been created as divine beings endowed with divine creative power, but had freely chosen to enter the material world (nature). Humans could recover their divinity, however, through a regenerative experience or purification of the soul. Thus regenerated, they became true sages or magi, as the Renaissance called them, who had knowledge of God and of truth. In regaining their original divinity, they reacquired an intimate knowledge of nature and the ability to employ the powers of nature for beneficial purposes.

In Italy, the most prominent magi in the late fifteenth century were Ficino and his friend and pupil, Giovanni Pico della Mirandola (1463–1494). Pico produced one of the most famous writings of the Renaissance, the *Oration on the Dignity of Man*, a preface to his *900 Conclusions*, which were meant to be a summation of all learning and were offered as theses for a public debate. Pico combed diligently through the writings of many philosophers of different backgrounds for the common "nuggets of universal truth" that he believed were all part of God's revelation to humanity. In the *Oration* (see the box on p. 343), Pico offered a ringing statement of unlimited human potential: "To him it is granted to have whatever he chooses, to be whatever he wills."<sup>17</sup> Like Ficino, Pico took an avid interest in Hermetic philosophy, accepting it as the "science of the Divine," which "embraces the deepest contemplation of the most secret things, and at last the knowledge of all nature."<sup>18</sup>

## Education in the Renaissance

The humanist movement had a profound effect on education. Renaissance humanists believed that human beings could be dramatically changed by education. They wrote books on education and developed secondary schools based on their ideas. Most famous was the one founded in 1423 by Vittorino da Feltre (1378–1446) at Mantua, where the ruler of that small Italian state, Gian Francesco I Gonzaga, wished to provide a humanist school for his children. Vittorino based much of his educational system on the ideas of classical authors, particularly Cicero and Quintilian.

At the core of the academic training Vittorino offered were the "liberal studies." The Renaissance view of the value of the liberal arts was most strongly influenced by a treatise on education called *Concerning Character* by Pietro Paolo Vergerio (1370–1444). This work stressed the importance of the liberal arts as the key to true freedom, enabling individuals to reach their full potential. According to Vergerio, "we call those studies liberal which are worthy of a free man; those studies by which we attain and practice virtue and wisdom; that education which calls forth, trains, and develops those highest gifts of body and mind which ennoble men, and which are rightly judged to



## Pico della Mirandola and the Dignity of Man

*Giovanni Pico della Mirandola was one of the foremost intellects of the Italian Renaissance. Pico boasted that he had studied all schools of philosophy, which he tried to demonstrate by drawing up 900 theses for public disputation at the age of twenty-four. As a preface to his theses, he wrote his famous oration, On the Dignity of Man, in which he proclaimed the unlimited potentiality of human beings.*

### ❁ Pico della Mirandola, *Oration on the Dignity of Man*

At last the best of artisans [God] ordained that that creature to whom He had been able to give nothing proper to himself should have joint possession of whatever had been peculiar to each of the different kinds of being. He therefore took man as a creature of indeterminate nature, and assigning him a place in the middle of the world, addressed him thus: “Neither a fixed abode nor a form that is yours alone nor any function peculiar to yourself have we given you, Adam, to the end that according to your longing and according to your judgment you may have and possess what abode, what form, and what functions you yourself desire. The nature of all other beings is limited and constrained within the bounds of laws prescribed by Us. You, constrained by no limits, in accordance with your own free

will, in whose hand We have placed you, shall ordain for yourself the limits of your nature. We have set you at the world’s center that you may from there more easily observe whatever is in the world. We have made you neither of heaven nor of earth, neither mortal nor immortal, so that with freedom of choice and with honor, as though the maker and molder of yourself, you may fashion yourself in whatever shape you shall prefer. You shall have the power to degenerate into the lower forms of life, which are brutish. You shalt have the power, out of your soul’s judgment, to be reborn into the higher forms, which are divine.”

O supreme generosity of God the Father, O highest and most marvelous felicity of man! To him it is granted to have whatever he chooses, to be whatever he wills. Beasts as soon as they are born bring with them from their mother’s womb all they will ever possess. Spiritual beings, either from the beginning or soon thereafter, become what they are to be for ever and ever. On man when he came into life the Father conferred the seeds of all kinds and the germs of every way of life. Whatever seeds each man cultivates will grow to maturity and bear in him their own fruit. If they be vegetative, he will be like a plant. If sensitive, he will become brutish. If rational, he will grow into a heavenly being. If intellectual, he will be an angel and the son of God.

rank next in dignity to virtue only.”<sup>19</sup> What, then, are the “liberal studies”?

Amongst these I accord the first place to History, on grounds both of its attractiveness and of its utility, qualities which appeal equally to the scholar and to the statesman. Next in importance ranks Moral Philosophy, which indeed is, in a peculiar sense, a “Liberal Art,” in that its purpose is to teach men the secret of true freedom. History, then, gives us the concrete examples of the precepts inculcated by Philosophy. The one shows what men should do, the other what men have said and done in the past, and what practical lessons we may draw therefrom for the present day. I would indicate as the third main branch of study, Eloquence. . . . By philosophy we learn the essential truth of things, which by eloquence we so exhibit in orderly adornment as to bring conviction to differing minds.<sup>20</sup>

The remaining liberal studies included letters (grammar and logic), poetry, mathematics, astronomy, and music (“as to Music,” said Vergerio, “the Greeks refused the title of ‘Educated’ to anyone who could not sing or play”). Crucial to all liberal studies was the mastery of Greek and Latin since it enabled students to read the great classical authors who were the foundation stones of the liberal arts. In short, the purpose of a liberal education was to

produce individuals who followed a path of virtue and wisdom and possessed the rhetorical skills to persuade others to take it.

Following the Greek precept of a sound mind in a sound body, Vittorino’s school at Mantua stressed the need for physical education. Pupils were taught the arts of javelin throwing, archery, and dancing and encouraged to run, wrestle, hunt, and swim frequently. Nor was Christianity excluded from Vittorino’s school. His students were taught the Scriptures and the works of the church fathers, especially Augustine. A devout Christian, Vittorino required his pupils to attend mass daily and be reverent in word and deed.

Although a small number of children from the lower classes were provided free educations, humanist schools such as Vittorino’s were primarily geared for the education of an elite, the ruling classes of their communities. Also largely absent from such schools were females. Vittorino’s only female pupils were the two daughters of the Gonzaga ruler of Mantua. Though these few female students studied the classics and were encouraged to know some history and to ride, dance, sing, play the lute, and appreciate poetry, they were discouraged from learning mathematics and rhetoric. In the educational treatises of the time,

religion and morals were thought to “hold the first place in the education of a Christian lady.”

Nevertheless, some women in Italy who were educated in the humanist fashion went on to establish their own literary careers. Isotta Nogarola, born to a noble family in Verona, mastered Latin and wrote numerous letters and treatises that brought her praise from male Italian intellectuals. Cassandra Fedele of Venice, who learned both Latin and Greek from humanist tutors hired by her family, became prominent in Venice for her public recitations of orations. In one of her writings, Cassandra defended the unusual practice of women studying the liberal arts.

The humanist schools of the Renaissance aimed to develop the human personality to the fullest extent and underscored the new social ideal of the Renaissance, the creation of the universal being known to us as the “Renaissance man.” We should also note that Vittorino and other humanist educators considered a humanist education to be a practical preparation for life. The aim of humanist education was not to create great scholars but rather to produce complete citizens who could participate in the civic life of their communities. As Vittorino said: “Not everyone is obliged to excel in philosophy, medicine, or the law, nor are all equally favored by nature; but all are destined to live in society and to practice virtue.”<sup>21</sup> Humanist schools, combining the classics and Christianity, provided the model for the basic education of the European ruling classes until the twentieth century.

### ✿ **Humanism and History**

Humanism had a strong impact on the writing of history. Influenced by Roman and Greek historians, the humanists approached the writing of history differently from the chroniclers of the Middle Ages. The humanists’ belief that classical civilization had been followed by an age of barbarism (the Middle Ages), which, in turn, had been succeeded by their own age with its rebirth of the study of the classics, enabled them to think in terms of the passage of time, of the past as past. Their division of the past into ancient world, dark ages, and their own age provided a new sense of chronology or periodization in history.

The humanists were also responsible for secularizing the writing of history. Humanist historians reduced or eliminated the role of miracles in historical interpretation, not because they were anti-Christian, but because they took a new approach to sources. They wanted to use documents and exercised their newly developed critical skills in examining them. Greater attention was paid to the political events and forces that affected their city-states or larger territorial units. Thus, Leonardo Bruni wrote a *History of the Florentine People*; the German scholar Jacob Wimpheling penned *On the Excellence and Magnificence of the Germans*. The new emphasis on secularization was also evident in the humanists’ conception of causation in history. In much medieval historical literature, historical events were often portrayed as being caused by God’s active involvement in

human affairs. Humanists deemphasized divine intervention in favor of human motives, stressing political forces or the role of individuals in history.

The high point of Renaissance historiography was achieved at the beginning of the sixteenth century in the works of Francesco Guicciardini (1483–1540). He has been called by some Renaissance scholars the greatest historian between Tacitus in the first century A.D. (see Chapter 6) and Voltaire and Gibbon in the eighteenth century (see Chapter 17). His *History of Italy* and *History of Florence* represent the beginning of “modern analytical historiography.” To Guicciardini, the purpose of writing history was to teach lessons, but he was so impressed by the complexity of historical events that he felt those lessons were not always obvious. From his extensive background in government and diplomatic affairs, he developed the political skills that enabled him to analyze political situations precisely and critically. Emphasizing political and military history, his works relied heavily on personal examples and documentary sources.

### ✿ **The Impact of Printing**

The period of the Renaissance witnessed the invention of printing, one of the most important technological innovations of Western civilization. The art of printing made an immediate impact on European intellectual life and thought.

Printing from hand-carved wooden blocks had been present in the west since the twelfth century. What was new in the fifteenth century was multiple printing with movable metal type. The development of printing from movable type was a gradual process that culminated some time between 1445 and 1450; Johannes Gutenberg of Mainz played an important role in bringing the process to completion. Gutenberg’s Bible, completed in 1455 or 1456, was the first real book produced from movable type.

The new printing spread rapidly throughout Europe in the last half of the fifteenth century. Printing presses were established throughout the Holy Roman Empire in the 1460s and within ten years had spread to Italy, England, France, the Low Countries, Spain, and eastern Europe. Especially well known as a printing center was Venice, home by 1500 to almost 100 printers who had produced almost two million volumes.

By 1500, there were more than 1,000 printers in Europe who had published almost 40,000 titles (between 8 and 10 million copies). Probably 50 percent of these books were religious in character—Bibles and biblical commentaries, books of devotion, and sermons. Next in importance were the Latin and Greek classics, medieval grammars, legal handbooks, works on philosophy, and an ever-growing number of popular romances.

Printing became one of the largest industries in Europe, and its effects were soon felt in many areas of European life. Although some humanists condemned printing because they believed that it vulgarized learn-



**MASACCIO, TRIBUTE MONEY.** With the frescoes of Masaccio, regarded by many as the first great works of Early Renaissance art, a new realistic style of painting was born. The *Tribute Money* was one of a series of frescoes that Masaccio painted in the Brancacci Chapel in the

Church of Santa Maria del Carmine in Florence. In illustrating a story from the Bible, Masaccio used a rational system of perspective to create a realistic relationship between the figures and their background.

ing, the printing of books actually encouraged the development of scholarly research and the desire to attain knowledge. Moreover, printing facilitated cooperation among scholars and helped produce standardized and definitive texts. Printing also stimulated the development of an ever-expanding lay reading public, a development that had an enormous impact on European society. Indeed, without the printing press, the new religious ideas of the Reformation would never have spread as rapidly as they did in the sixteenth century.

## ◆ The Artistic Renaissance

Leonardo da Vinci, one of the great Italian Renaissance artists, once explained: “Hence the painter will produce pictures of small merit if he takes for his standard the pictures of others, but if he will study from natural objects he will bear good fruit . . . those who take for their standard any one but nature . . . weary themselves in vain.”<sup>22</sup> Renaissance artists considered the imitation of nature to be their primary goal. Their search for naturalism became an end in itself: to persuade onlookers of the reality of the object or event they were portraying. At the same time, the new artistic standards reflected a new attitude of mind as well, one in which human beings became the focus of attention, the “center and measure of all things,” as one artist proclaimed.

Leonardo and other Italians maintained that it was Giotto in the fourteenth century (see Chapter 11) who began the imitation of nature. But what Giotto had begun was not taken up again until the work of Masaccio (1401–1428) in Florence. Masaccio’s cycle of frescoes in the Brancacci Chapel has long been regarded as the first masterpiece of Early Renaissance art. With his use of monumental figures, demonstration of a more realistic relationship between figures and landscape, and visual

representation of the laws of perspective, a new realistic style of painting was born. Onlookers become aware of a world of reality that appears to be a continuation of their own world. Masaccio’s massive, three-dimensional human figures provided a model for later generations of Florentine artists.

This new or Renaissance style was absorbed and modified by other Florentine painters in the fifteenth century. Especially important was the development of an experimental trend that took two directions. One emphasized the mathematical side of painting, the working out of the laws of perspective and the organization of outdoor space and light by geometry and perspective. In the work of Paolo Uccello (1397–1475), figures became mere stage props to show off his mastery of the laws of perspective. The other aspect of the experimental trend involved the investigation of movement and anatomical structure. *The Martyrdom of St. Sebastian* by Antonio Pollaiuolo (c. 1432–1498) revels in classical motifs and attempts to portray the human body under stress. Indeed, the realistic portrayal of the human nude became one of the foremost preoccupations of Italian Renaissance art. The fifteenth century, then, was a period of experimentation and technical mastery.

During the last decades of the fifteenth century, a new sense of invention emerged in Florence, especially in the circle of artists and scholars who formed part of the court of the city’s leading citizen, Lorenzo the Magnificent. One of this group’s prominent members was Sandro Botticelli (1445–1510), whose interest in Greek and Roman mythology was well reflected in one of his most famous works, *Primavera* or *Spring*. The painting is set in the garden of Venus, a garden of eternal spring. Though Botticelli’s figures are well defined, they also possess an otherworldly quality that is far removed from the realism that characterized the painting of the Early Renaissance.

The revolutionary achievements of Florentine painters in the fifteenth century were matched by equally



**BOTTICELLI, PRIMAVERA.** This work reflects Botticelli's strong interest in classical antiquity. At the center of the painting is Venus, the goddess of love. At the right stands Flora, a Roman goddess of flowers and fertility, while the Three Graces dance playfully at the left. Cupid, the son of Venus, aims his arrow at the Three Graces. At the far left

of the picture is Mercury, the messenger of the gods. Later in his life, Botticelli experienced a profound religious crisis, leading him to reject his earlier preoccupation with pagan gods and goddesses. He burned many of his early paintings and then produced only religious works.

stunning advances in sculpture and architecture. Donato di Donatello (1386–1466) spent time in Rome, studying and copying the statues of antiquity. His subsequent work in Florence reveals how well he had mastered the essence of what he saw. Among his numerous works was a statue of David, which is the first known “lifesize freestanding bronze nude in European art since antiquity.” With the severed head of the giant Goliath beneath David’s feet, Donatello’s statue celebrated Florentine heroism in the triumph of the Florentines over the Milanese in 1428. Like Donatello’s other statues, *David* also radiated a simplicity and strength that reflected the dignity of humanity.

Filippo Brunelleschi (1377–1446) was a friend of Donatello and accompanied him to Rome. Brunelleschi drew much inspiration from the architectural monuments of Roman antiquity, and when he returned to Florence, he poured his new insights into the creation of a new architecture. When the Medici commissioned him to design the Church of San Lorenzo, Brunelleschi, inspired by Roman models, created a church interior very different from that of the great medieval cathedrals. San Lorenzo’s classical columns, rounded arches, and coffered ceiling created an environment that did not overwhelm the worshiper

**FILIPPO BRUNELLESCHI, INTERIOR OF SAN LORENZO.** Cosimo de’ Medici contributed massive amounts of money to the rebuilding of the Church of San Lorenzo. As seen in this view of the nave and choir of the church, Brunelleschi’s architectural designs were based on the basilica plan borrowed by early Christians from pagan Rome. San Lorenzo’s simplicity, evident in its rows of slender Corinthian columns, created a human-centered space.



**DONATELLO, DAVID.** Donatello's *David* first stood in the courtyard of the Medici Palace. On its base was an inscription praising Florentine heroism and virtue, leading art historians to assume that the statue was meant to commemorate the victory of Florence over Milan in 1428.



materially and psychologically as Gothic cathedrals did, but comforted as a space created to fit human, not divine, measurements. Like painters and sculptors, Renaissance architects sought to reflect a human-centered world.

The new assertion of human individuality, evident in Early Renaissance art, was also reflected in the new emphasis on portraiture. Patrons appeared in the corners of sacred pictures, and monumental tombs and portrait statues honored many of Florence's prominent citizens. By the mid-fifteenth century, artists were giving an accurate rendering of their subjects' facial features while revealing the inner qualities of their personalities. The portraits of the duke and duchess of Urbino by Piero della Francesca (c. 1410–1492) provide accurate representations as well as a sense of both the power and the wealth of the rulers of Urbino.

By the end of the fifteenth century, Italian painters, sculptors, and architects had created a new artistic envi-

ronment. Many artists had mastered the new techniques for a scientific observation of the world around them and were now ready to move into individualistic forms of creative expression. This final stage of Renaissance art, which flourished between 1480 and 1520, is called the High Renaissance. The shift to the High Renaissance was marked by the increasing importance of Rome as a new cultural center of the Italian Renaissance.

The High Renaissance was dominated by the work of three artistic giants, Leonardo da Vinci (1452–1519), Raphael (1483–1520), and Michelangelo (1475–1564). Leonardo represents a transitional figure in the shift to High Renaissance principles. He carried on the fifteenth-century experimental tradition by studying everything and even dissecting human bodies to better see how nature worked. But Leonardo stressed the need to advance beyond such realism and initiated the High Renaissance's preoccupation with the idealization of nature, or the attempt to generalize from realistic portrayal to an ideal form. Leonardo's

*Last Supper*, painted in Milan, is a brilliant summary of fifteenth-century trends in its organization of space and use of perspective to depict subjects three-dimensionally in a two-dimensional medium. But it is also more. The figure of Philip is idealized, and there are profound psychological dimensions to the work. The words of

**LEONARDO DA VINCI, THE LAST SUPPER.** Leonardo da Vinci was the impetus behind the High Renaissance concern for the idealization of nature, moving from a realistic portrayal of the human figure to an idealized form. Evident in Leonardo's *Last Supper* is his effort to depict a

person's character and inner nature by the use of gesture and movement. Unfortunately, Leonardo used an experimental technique in this fresco, which soon led to its physical deterioration.





**RAPHAEL, *SCHOOL OF ATHENS*.** Raphael arrived in Rome in 1508 and began to paint a series of frescoes commissioned by Pope Julius II for the papal apartments at the Vatican. In the *School of Athens*, painted about 1510–1511,

Raphael created an imaginary gathering of ancient philosophers. In the center stand Plato and Aristotle. At the left is Pythagoras, showing his system of proportions on a slate. At the right is Ptolemy, holding a celestial globe.

Jesus that “one of you shall betray me” are experienced directly as each of the apostles reveals his personality and his relationship to Jesus. Through gestures and movement, Leonardo hoped to reveal a person’s inner life.

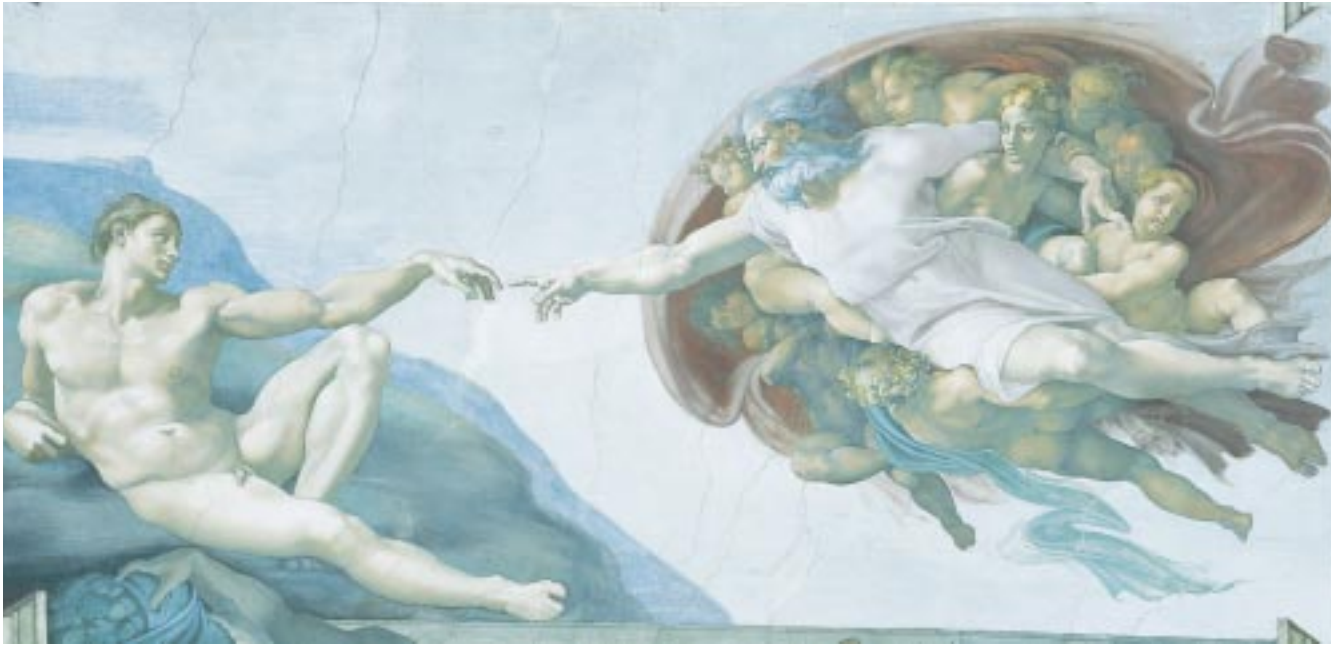
Raphael blossomed as a painter at an early age; at twenty-five, he was already regarded as one of Italy’s best painters. Raphael was acclaimed for his numerous madonnas, in which he attempted to achieve an ideal of beauty far surpassing human standards. He is well known for his frescoes in the Vatican Palace; his *School of Athens* reveals a world of balance, harmony, and order—the underlying principles of the art of the classical world of Greece and Rome.

Michelangelo, an accomplished painter, sculptor, and architect, was another giant of the High Renaissance. Fiercely driven by his desire to create, he worked with great passion and energy on a remarkable number of projects. Michelangelo was influenced by Neoplatonism, especially evident in his figures on the ceiling of the Sistine Chapel in Rome. These muscular figures reveal an ideal type of human being with perfect proportions. In good Neoplatonic fashion, their beauty is meant to be a reflection of

divine beauty; the more beautiful the body, the more God-like the figure.

Another manifestation of Michelangelo’s search for ideal beauty was his *David*, a colossal marble statue commissioned by the Florentine government in 1501 and completed in 1504. Michelangelo maintained that the form of a statue already resided in the uncarved piece of stone: “I only take away the surplus, the statue is already there.”<sup>23</sup> Out of a piece of marble that had remained unused for fifty years, Michelangelo created a fourteen-foot-high figure, the largest piece of sculpture in Italy since the time of Rome. An awe-inspiring hero, Michelangelo’s *David* proudly proclaims the beauty of the human body and the glory of human beings.

A High Renaissance in architecture was also evident, especially in the work of Donato Bramante (1444–1514). He came from Urbino but took up residence in Rome, where he designed a small temple on the supposed site of Saint Peter’s martyrdom. The Tempietto—or little temple—with its Doric columns surrounding a sanctuary enclosed by a dome, summarized the architectural ideals of the



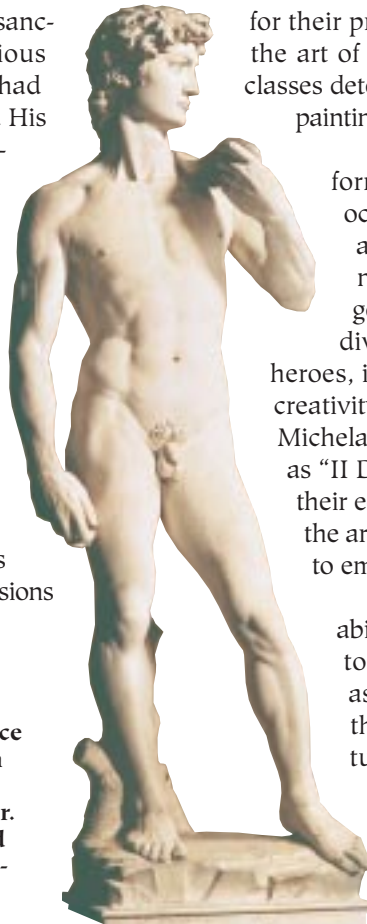
**MICHELANGELO, CREATION OF ADAM.** In 1508, Pope Julius II recalled Michelangelo to Rome and commissioned him to decorate the ceiling of the Sistine Chapel. This colossal project was not completed until 1512. Michelangelo attempted to tell the story of the Fall of

Man by depicting nine scenes from the biblical Book of Genesis. In this scene, the well-proportioned figure of Adam, meant by Michelangelo to be a reflection of divine beauty, awaits the divine spark.

High Renaissance. Columns, dome, and sanctuary form a monumental and harmonious whole. Inspired by antiquity, Bramante had recaptured the grandeur of ancient Rome. His achievement led Pope Julius II to commission him to design a new basilica for Rome, which eventually became the great St. Peter's.

### 🌟 *The Artist and Social Status*

Early Renaissance artists began their careers as apprentices to masters in craft guilds. Apprentices with unusual talent might eventually become masters and run their own workshops. As in the Middle Ages, artists were still largely viewed as artisans. Since guilds depended on commissions



**MICHELANGELO, DAVID.** This statue of David, cut from an eighteen-foot-high piece of marble, exalts the beauty of the human body and is a fitting symbol of the Italian Renaissance's affirmation of human power. Completed in 1504, the *David* was moved by Florentine authorities to a special location in front of the Palazzo Vecchio, the seat of the Florentine government.

for their projects, patrons played an important role in the art of the Early Renaissance. The wealthy upper classes determined both the content and purpose of the paintings and pieces of sculpture they commissioned.

By the end of the fifteenth century, a transformation in the position of the artist had occurred. Especially talented individuals, such as Leonardo, Raphael, and Michelangelo, were no longer seen as artisans, but as artistic geniuses with creative energies akin to the divine (see the box on p. 350). Artists were heroes, individuals who were praised more for their creativity than for their competence as craftspeople. Michelangelo, for example, was frequently addressed as "II Divino"—the Divine One. As society excused their eccentricities and valued their creative genius, the artists of the High Renaissance became the first to embody the modern concept of the artist.

As respect for artists grew, so too did their ability to profit economically from their work and to rise on the social scale. Now welcomed as equals into the circles of the upper classes, they mingled with the political and intellectual elite of their society and became more aware of new intellectual theories, which they then embodied in their art. The Platonic Academy and Renaissance Neoplatonism had an especially important impact on Florentine painters.





## The Genius of Leonardo da Vinci

*During the Renaissance, artists came to be viewed as creative geniuses with almost divine qualities. One individual who helped to create this image of the Renaissance artist was himself a painter. Giorgio Vasari was an avid admirer of Italy's great artists and wrote a series of brief biographies of them. This excerpt is taken from his account of Leonardo da Vinci.*

### ✿ Giorgio Vasari, *Lives of the Artists*

In the normal course of events many men and women are born with various remarkable qualities and talents; but occasionally, in a way that transcends nature, a single person is marvelously endowed by heaven with beauty, grace, and talent in such abundance that he leaves other men far behind, all his actions seem inspired, and indeed everything he does clearly comes from God rather than from human art.

Everyone acknowledged that this was true of Leonardo da Vinci, an artist of outstanding physical beauty who displayed infinite grace in everything he did and who cultivated his genius so brilliantly that all problems he studied he solved with ease. He possessed great strength and dexterity; he was a man of regal spirit and tremendous breadth of mind; and his name became so famous that not only was he esteemed during his life-

time but his reputation endured and became even greater after his death. . . .

He was marvelously gifted, and he proved himself to be a first-class geometrician in his work as a sculptor and architect. In his youth Leonardo made in clay several heads of women, with smiling faces, of which plaster casts are still being made, as well as some children's heads executed as if by a mature artist. He also did many architectural drawings both of ground plans and of other elevations, and, while still young, he was the first to propose reducing the Arno River to a navigable canal between Pisa and Florence. He made designs for mills, fulling machines, and engines that could be driven by waterpower; and as he intended to be a painter by profession he carefully studied drawing from life. . . . Altogether, his genius was so wonderfully inspired by the grace of God, his powers of expression were so powerfully fed by a willing memory and intellect, and his writing conveyed his ideas so precisely, that his arguments and reasonings confounded the most formidable critics. In addition, he used to make models and plans showing how to excavate and tunnel through mountains without difficulty, so as to pass from one level to another; and he demonstrated how to lift and draw great weights by means of levers and hoists and ways of cleaning harbors and using pumps to suck up water from great depths.



### ✿ The Northern Artistic Renaissance

In trying to provide an exact portrayal of their world, the artists of the north (especially the Low Countries) and Italy took different approaches. In Italy, the human form became the primary vehicle of expression as Italian artists sought to master the technical skills that allowed them to portray humans in realistic settings. The large wall spaces of Italian churches had given rise to the art of fresco painting, but in the north, the prevalence of Gothic cathedrals with their stained glass windows resulted in more emphasis on illuminated manuscripts and wooden panel painting for altarpieces. The space available in these works was limited, and great care was required to depict each object, leading northern painters to become masters at rendering details.

**BRAMANTE, TEMPIETTO.** Ferdinand and Isabella of Spain commissioned Donato Bramante to design a small building in Rome that would commemorate the place where Saint Peter supposedly was crucified. Completed in 1502, the temple reflected Bramante's increasing understanding of ancient Roman remains.



JAN VAN EYCK, *GIOVANNI ARNOLFINI AND HIS BRIDE*. Northern painters took great care in depicting each object and became masters at rendering details. This emphasis on a realistic portrayal is clearly evident in this oil painting, supposedly a portrait of Giovanni Arnolfini, an Italian merchant who had settled in Bruges, and his wife, Giovanna Cenami.

The most influential northern school of art in the fifteenth century was centered in Flanders. Jan van Eyck (1390?–1441) was among the first to use oil paint, a medium that enabled the artist to use a varied range of colors and make changes to create fine details. In the famous *Giovanni Arnolfini and His Bride*, van Eyck's attention to detail is staggering: precise portraits, a glittering chandelier, and a mirror reflecting the objects in the room. Although each detail was rendered as observed, it is evident that van Eyck's comprehension of perspective was still uncertain. His work is truly indicative of northern Renaissance painters, who, in their effort to imitate nature, did so not by mastery of the laws of perspective and proportion, but by empirical observation of visual reality and the accurate portrayal of details. Moreover, northern painters placed great emphasis on the emotional intensity of religious feeling and created great works of devotional art, especially in their altarpieces. Michelangelo summa-



ALBRECHT DÜRER, *ADORATION OF THE MAGI*. By the end of the fifteenth century, northern artists had begun to study in Italy and to adopt many of the techniques used by Italian painters. As is evident in this painting, which was the central panel for an altarpiece done for Frederick the Wise in 1504, Albrecht Dürer masterfully incorporated the laws of perspective and the ideals of proportion into his works. At the same time, he did not abandon the preoccupation with detail typical of northern artists.

riized the difference between northern and Italian Renaissance painting in these words:

In Flanders, they paint, before all things, to render exactly and deceptively the outward appearance of things. The painters choose, by preference, subjects provoking transports of piety, like the figures of saints or of prophets. But most of the time they paint what are called landscapes with plenty of figures. Though the eye is agreeably impressed, these pictures have neither choice of values nor grandeur. In short, this art is without power and without distinction; it aims at rendering minutely many things at the same time, of which a single one would have sufficed to call forth a man's whole application.<sup>24</sup>

By the end of the fifteenth century, however, artists from the north began to study in Italy and were visually influenced by what artists were doing there.

One northern artist of this later period who was greatly affected by the Italians was Albrecht Dürer (1471–1528) from Nuremberg. Dürer made two trips to



MAP 12.2 Europe in the Renaissance.

Italy and absorbed most of what the Italians could teach, as is evident in his mastery of the laws of perspective and Renaissance theories of proportion. He wrote detailed treatises on both subjects. At the same time, as in his famous *Adoration of the Magi*, Dürer did not reject the use of minute details characteristic of northern artists. He did try, however, to integrate those details more harmoniously into his works and, like the Italian artists of the High Renaissance, tried to achieve a standard of ideal beauty by a careful examination of the human form.

### ☀ *Music in the Renaissance*

For much of the fifteenth century, an extraordinary cultural environment was fostered in the domains of the dukes of Burgundy in northern Europe. The court of the dukes attracted some of the best artists and musicians of the time. Among them was Guillaume Dufay (c. 1400–1474), perhaps the most important composer of his time. Born in northern France, Dufay lived for a few years in Italy and was thus well suited to combine the late medieval style of France with the early Renaissance style of Italy. One

of Dufay's greatest contributions was a change in the composition of the mass. He was the first to use secular tunes to replace Gregorian chants as the fixed melody that served as the basis for the mass. Dufay also composed a number of secular songs, an important reminder that during the Renaissance music ceased to be used chiefly in the service of God and moved into the secular world of courts and cities. In Italy and France, the chief form of secular music was the madrigal.

The Renaissance madrigal was a poem set to music, and its origins were in the fourteenth-century Italian courts. The texts were usually twelve-line poems written in the vernacular, and their theme was emotional or erotic love. By the mid-sixteenth century, most madrigals were written for five or six voices and employed a technique called text painting, in which the music tried to portray the literal meaning of the text. Thus, the melody would rise for the word “heaven” or use a wavelike motion to represent the word “water.” By the mid-sixteenth century, the madrigal had also spread to England, where the most popular form was characterized by the fa-la-la refrain like that found in the English carol “Deck the Halls.”

## ◆ The European State in the Renaissance

The High Middle Ages had witnessed the emergence of territorial states that began to develop the administrative machinery of centralized government. Professional bureaucracies, royal courts, and parliamentary assemblies were all products of the twelfth and thirteenth centuries. Strong monarchy had provided the organizing power for the development of these states, but in the fourteenth century, the internal stability of European governments had been threatened by financial and dynastic problems as well as challenges from their nobilities. By the fifteenth century, rulers began to rebuild their states by checking the violent activities of their nobles and maintaining internal order. Some territorial units, such as the Holy Roman Empire and Italy, failed to develop strong national monarchies, but even in these areas, strong princes and city councils managed to centralize their authority within their smaller territorial states. In Italy, Milan, Venice, and Florence managed to become fairly well centralized territorial states. Some historians believe that the Italian Renaissance states, with their preoccupation with political power, were the first true examples of the modern secular state.

### ✿ The “New Monarchies”

In the first half of the fifteenth century, European states continued the disintegrative patterns of the previous century. In the second half of the fifteenth century, however, recovery set in, and attempts were made to reestablish the centralized power of monarchical governments. To characterize the results, some historians have used the label “Renaissance states”; others have spoken of the “new monarchies,” especially those of France, England, and Spain at the end of the fifteenth century. Although appropriate, the term “new monarch” can also be misleading. These Renaissance monarchs were new in their concentration of royal authority, their attempts to suppress the nobility, their efforts to control the church in their lands, and their insistence upon having the loyalty of people living within definite territorial boundaries. Like the rulers of fifteenth-century Italian states, the “new monarchs” were often crafty men obsessed with the acquisition and expansion of political power. Of course, none of these characteristics was entirely new in that a number of medieval monarchs, especially in the thirteenth century, had also exhibited them. Nevertheless, the Renaissance period does mark the further extension of centralized royal authority. Of course, the degree to which monarchs were successful in extending their political authority varied from area to area. In central and eastern Europe, decentralization rather than centralization of political authority remained a fact of life.



## C H R O N O L O G Y

### The “New Monarchies”

France	
Charles VII	1422–1461
Pragmatic Sanction of Bourges	1438
Louis XI the Spider	1461–1483
Charles VIII	1483–1498
Louis XII	1498–1515
England	
War of the Roses	1450s–1485
Richard III	1483–1485
Henry VII	1485–1509
Spain	
Isabella of Castile	1474–1504
Ferdinand of Aragon	1479–1516
Marriage of Ferdinand and Isabella	1469
Introduction of Inquisition	1478
Expulsion of the Jews	1492
Expulsion of the Muslims	1502
Holy Roman Empire	
Frederick III	1440–1493
Maximilian I	1493–1519
Eastern Europe	
Creation of Lithuanian-Polish state	1386
Hungary: Matthias Corvinus	1458–1490
Russia: Ivan III	1462–1505
Fall of Constantinople and Byzantine Empire	1453

### ✿ THE GROWTH OF THE FRENCH MONARCHY

The Hundred Years’ War had left France prostrate. Depopulation, desolate farmlands, ruined commerce, and independent and unruly nobles had made it difficult for the kings to assert their authority. But the war had also developed a strong degree of French national feeling toward a common enemy that the kings could use to reestablish monarchical power. The need to prosecute the war provided an excuse to strengthen the authority of the king, already evident in the policies of Charles VII (1422–1461) after he was crowned king at Reims. With the consent of the Estates-General, Charles established a royal army composed of cavalry and archers. He received from the Estates-General the right to levy the *taille*, an annual direct tax usually on land or property, without any need for further approval from the Estates-General. Losing control of the purse meant less power for this parliamentary body. Charles VII also secured the Pragmatic Sanction of Bourges (1438), an agreement with the papacy that strengthened the liberties of the French church administratively at the expense of the papacy and

enabled the king to begin to assume control over the church in France.

The process of developing a French territorial state was greatly advanced by King Louis XI (1461–1483), known as the Spider because of his wily and devious ways. Some historians have called this “new monarch” the founder of the French national state. By retaining the *taille* as a permanent tax imposed by royal authority, Louis secured a sound, regular source of income. Louis was not, however, completely successful in repressing the French nobility whose independence posed a threat to his own state building. A major problem was his supposed vassal, Charles the Bold, duke of Burgundy (1467–1477). Charles attempted to create a middle kingdom between France and Germany, stretching from the Low Countries in the north to Switzerland. Louis opposed his action, and when Charles was killed in 1477 fighting the Swiss, Louis added part of Charles’s possessions, the duchy of Burgundy, to his own lands. Three years later, the provinces of Anjou, Maine, Bar, and Provence were brought under royal control. Louis the Spider also encouraged the growth of industry and commerce in an attempt to bolster the French economy. For example, he introduced new industries, such as the silk industry to Lyons.

Many historians believe that Louis created a base for the later development of a strong French monarchy. In any case, the monarchy was at least well enough established to weather the policies of the next two monarchs, Charles VIII (1483–1498) and Louis XII (1498–1515), whose attempts to subdue parts of Italy initiated a series of Italian wars. Internally, France survived these wars without too much difficulty.

#### ENGLAND: CIVIL WAR AND A NEW MONARCHY

The Hundred Years’ War had also strongly affected the other protagonist in that conflict. The cost of the war in its final years and the losses in manpower strained the English economy. Moreover, the end of the war brought even greater domestic turmoil to England when the War of the Roses broke out in the 1450s. This civil war pitted the ducal house of Lancaster, whose symbol was a red rose, against the ducal house of York, whose symbol was a white rose. Many aristocratic families of England were drawn into the conflict. Finally, in 1485, Henry Tudor, duke of Richmond, defeated the last Yorkist king, Richard III (1483–1485), at Bosworth Field and established the new Tudor dynasty.

As the first Tudor king, Henry VII (1485–1509) worked to reduce internal dissension and establish a strong monarchical government. The English aristocracy had been much weakened by the War of the Roses because many nobles had been killed. Henry eliminated the private wars of the nobility by abolishing “livery and maintenance,” the practice by which wealthy aristocrats maintained private armies of followers dedicated to the service of their lord. Since England, unlike France and Spain, did not possess a standing army, the king relied on special commissions to trusted nobles to raise troops

for a specific campaign, after which the troops were disbanded. Henry also controlled the irresponsible activity of the nobles by establishing the Court of Star Chamber, which did not use juries and allowed torture to be used to extract confessions.

Henry VII was particularly successful in extracting income from the traditional financial resources of the English monarch, such as the crown lands, judicial fees and fines, and customs duties. By using diplomacy to avoid wars, which are always expensive, the king avoided having to call Parliament on any regular basis to grant him funds. By not overburdening the landed gentry and middle class with taxes, Henry won their favor, and they provided much support for his monarchy.

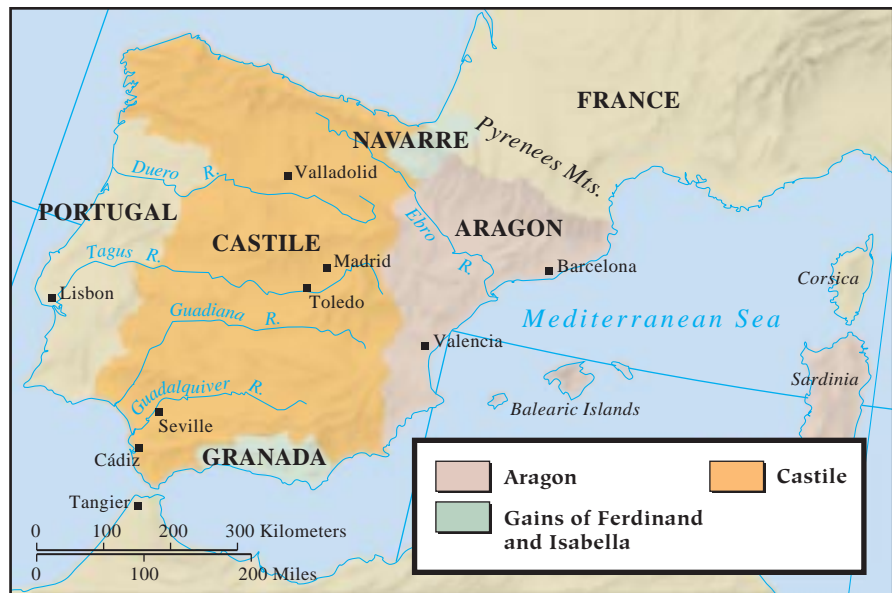
Henry also encouraged commercial activity. By increasing wool exports, royal export taxes on wool rose. Henry’s thriftiness as well as his domestic and foreign policies enabled him to leave England with a stable and prosperous government and an enhanced status for the monarchy itself.

#### THE UNIFICATION OF SPAIN

During the Middle Ages, several independent Christian kingdoms had emerged in the course of the long reconquest of the Iberian peninsula from the Muslims. Aragon and Castile were the strongest Spanish kingdoms; in the west was the independent monarchy of Portugal; in the north, the small kingdom of Navarre, oriented toward France; and in the south, the Muslim kingdom of Granada. Few people at the beginning of the fifteenth century could have predicted the unification of the Iberian kingdoms.

A major step in that direction was taken with the marriage of Isabella of Castile (1474–1504) and Ferdinand of Aragon (1479–1516) in 1469. This marriage was a dynastic union of two rulers, not a political union. Both kingdoms maintained their own parliaments (Cortes), courts, laws, coinage, speech, customs, and political organs. Nevertheless, the two rulers worked to strengthen royal control of government, especially in Castile. The royal council, which was supposed to supervise local administration and oversee the implementation of government policies, was stripped of aristocrats and filled primarily with middle-class lawyers. Trained in the principles of Roman law, these officials operated on the belief that the monarchy embodied the power of the state.

The towns were also enlisted in the policy of state building. Medieval town organizations known as *hermandades* (“brotherhoods”), which had been organized to maintain law and order, were revived. Ferdinand and Isabella transformed them into a kind of national militia whose primary goal was to stop the wealthy landed aristocrats from disturbing the peace, a goal also favored by the middle class. The *hermandades* were disbanded by 1498 when the royal administration became strong enough to deal with lawlessness. The appointment of *corregidores* by the crown to replace corrupt municipal officials enabled the monarchs to extend the central authority of royal government into the towns.



MAP 12.3 The Iberian Peninsula.

Seeking to replace the undisciplined feudal levies they had inherited with a more professional royal army, Ferdinand and Isabella reorganized the military forces of Spain. The development of a strong infantry force as the heart of the new Spanish army made it the best in Europe by the sixteenth century.

Ferdinand and Isabella recognized the importance of controlling the Catholic church with its vast power and wealth. They secured from the pope the right to select the most important church officials in Spain, virtually guaranteeing the foundation of a Spanish Catholic church in which the clergy became an instrument for the extension of royal power. The monarchs, who were sincere Catholics, also used their authority over the church to institute reform. Isabella's chief minister, the able and astute Cardinal Ximenes, restored discipline and eliminated immorality among the monks and secular clergy.

The religious zeal exhibited in Cardinal Ximenes's reform program was also evident in the policy of strict religious uniformity pursued by Ferdinand and Isabella. Of course, it served a political purpose as well: to create unity and further bolster royal power. Spain possessed two large religious minorities, the Jews and Muslims, both of whom had been largely tolerated in medieval Spain. In some areas of Spain, Jews exercised much influence in economic and intellectual affairs. Increased persecution in the fourteenth century, however, led the majority of Spanish Jews to convert to Christianity. Although many of these *conversos* came to play important roles in Spanish society, complaints that they were secretly reverting to Judaism prompted Ferdinand and Isabella to ask the pope to introduce the Inquisition into Spain in 1478. Under royal control, the Inquisition worked with cruel efficiency to guarantee the orthodoxy of the *conversos*, but had no authority over practicing Jews. Consequently, in 1492, flush with the success of the conquest of Muslim Granada,

Ferdinand and Isabella took the drastic step of expelling all professed Jews from Spain. It is estimated that 150,000 out of possibly 200,000 Jews fled.

Muslims, too, were "encouraged" to convert to Christianity after the conquest of Granada. In 1502, Isabella issued a decree expelling all professed Muslims from her kingdom. To a very large degree, the "Most Catholic" monarchs had achieved their goal of absolute religious orthodoxy as a basic ingredient of the Spanish state. To be Spanish was to be Catholic, a policy of uniformity enforced by the Inquisition.

During the reigns of Ferdinand and Isabella, Spain (or the union of Castile and Aragon) began to emerge as an important power in European affairs. Both Granada and Navarre had been conquered and incorporated into the royal realms. Nevertheless, Spain remained divided in many ways. Only the royal dynasty provided the centralizing force, and when a single individual, the grandson of Ferdinand and Isabella, succeeded both rulers as Charles I in 1516, he inherited lands that made him the most powerful monarch of his age.

#### ✿ THE HOLY ROMAN EMPIRE: THE SUCCESS OF THE HABSBURGS

Unlike France, England, and Spain, the Holy Roman Empire failed to develop a strong monarchical authority. After 1438, the position of Holy Roman Emperor remained in the hands of the Habsburg dynasty. Having gradually acquired a number of possessions along the Danube, known collectively as Austria, the house of Habsburg had become one of the wealthiest landholders in the empire and by the mid-fifteenth century began to play an important role in European affairs.

Much of the Habsburg success in the fifteenth century was due not to military success, but to a well-executed



**EMPEROR MAXIMILIAN I.** Although the Holy Roman Emperor possessed little power in Germany, the Habsburg dynasty, which held the position of emperor after 1438, steadily increased its wealth and landholdings through dynastic marriages. This portrait of Emperor Maximilian I reflects well the description by a Venetian ambassador: “He is not very fair of face, but well proportioned, exceedingly robust, of sanguine and choleric complexion and very healthy for his age.”

policy of dynastic marriages. As the old Habsburg motto said: “Leave the waging of wars to others! But you, happy Austria, marry; for the realms which Mars [god of war] awards to others, Venus [goddess of love] transfers to you.” Although Frederick III (1440–1493) lost the traditional Habsburg possessions of Bohemia and Hungary, he gained Franche-Comté in east-central France, Luxembourg, and a large part of the Low Countries by marrying his son Maximilian to Mary, the daughter of Duke Charles the Bold of Burgundy. The addition of these territories made the Habsburg dynasty an international power and brought them the undying opposition of the French monarchy because the rulers of France feared they would be surrounded by the Habsburgs.

Much was expected of the flamboyant Maximilian I (1493–1519) when he became emperor. Through the Reichstag, the imperial diet or parliament, Maximilian attempted to centralize the administration by creating new institutions common to the entire empire. Opposition from the German princes doomed these efforts, however. Maximilian’s only real success lay in his marriage alliances. Philip of Burgundy, the son of Maximilian’s marriage to Mary, was married to Joanna, the daughter of Ferdinand and Isabella. Philip and Joanna produced a son, Charles,

who, through a series of unexpected deaths, became heir to all three lines, the Habsburg, Burgundian, and Spanish, making him the leading monarch of his age (see Chapter 13).

Although the Holy Roman Empire did not develop along the lines of a centralized monarchical state, within the empire the power of the independent princes and electors increased steadily. In numerous German states, such as Bavaria, Hesse, Brandenburg, and the Palatinate, princes built up bureaucracies, developed standing armies, created fiscal systems, and introduced Roman law, just like the national monarchs of France, England, and Spain. They posed a real threat to the church, the emperor, and other smaller independent bodies in the Holy Roman Empire, especially the free imperial cities.

#### ✿ THE STRUGGLE FOR STRONG MONARCHY IN EASTERN EUROPE

In eastern Europe, rulers struggled to achieve the centralization of their territorial states but faced serious obstacles. Although the population was mostly Slavic, there were islands of other ethnic groups that caused untold difficulties. Religious differences also troubled the area, as Roman Catholics, Greek Orthodox Christians, and pagans confronted each other.

Much of Polish history revolved around the bitter struggle between the crown and the landed nobility. The dynastic union of Jagiello, grand prince of Lithuania, with the Polish queen Jadwiga resulted in a large Lithuanian-Polish state in 1386. Jagiello and his immediate successors were able to control the landed magnates, but by the end of the fifteenth century, the preoccupation of Poland’s rulers with problems in Bohemia and Hungary as well as war with the Russians and Turks enabled the aristocrats to reestablish their power. Through their control of the *Sejm* or national diet, the magnates reduced the peasantry to serfdom by 1511 and established the right to elect their kings. The Polish kings proved unable to establish a strong royal authority.

Bohemia, Poland’s neighbor, was part of the Holy Roman Empire, but distrust of the Germans and close ethnic ties to the Poles and Slovaks encouraged the Czechs to associate with their northeastern Slavic neighbors. The Hussite wars (see The Problems of Heresy and Reform later in this chapter) led to further dissension and civil war. Because of a weak monarchy, the Bohemian nobles increased their authority and wealth at the expense of both crown and church.

The history of Hungary had been closely tied to that of central and western Europe by its conversion to Roman Catholicism by German missionaries. The church became a large and prosperous institution. Wealthy bishops, along with the great territorial lords, became powerful, independent political figures. For a brief while, Hungary developed into an important European state, the dominant power in eastern Europe. King Matthias Corvinus (1458–1490) broke the power of the wealthy lords and created a well-organized bureaucracy. Like a typical Renais-



MAP 12.4 Southeastern Europe.

sance prince, he patronized the new humanist culture, brought Italian scholars and artists to his capital at Buda, and made his court one of the most brilliant outside Italy. After his death, Hungary returned to weak rule, and the work of Corvinus was largely undone.

Since the thirteenth century, Russia had been under the domination of the Mongols. Gradually, the princes of Moscow rose to prominence by using their close relationship to the Mongol khans to increase their wealth and expand their possessions. In the reign of the great prince Ivan III (1462–1505), a new Russian state was born. Ivan III annexed other Russian principalities and took advantage of dissension among the Mongols to throw off their yoke by 1480. He invaded the lands of the Lithuanian-Polish dynasty and added the territories around Kiev, Smolensk, and Chernigov to his new Muscovite state.

#### THE OTTOMAN TURKS AND THE END OF BYZANTIUM

Eastern Europe was increasingly threatened by the steadily advancing Ottoman Turks. The Byzantine Empire had, of course, served as a buffer between the Muslim Middle East and the Latin West for centuries. It was severely weakened by the sack of Constantinople in 1204 and its occupation by the west. Although the Palaeolo-

gus dynasty (1260–1453) had tried to reestablish Byzantine power in the Balkans after the overthrow of the Latin Empire, the threat from the Turks finally doomed the long-lasting empire.

Beginning in northeastern Asia Minor in the thirteenth century, the Ottoman Turks spread rapidly, seizing the lands of the Seljuk Turks and the Byzantine Empire. In 1345, they bypassed Constantinople and moved into the Balkans, which they conquered by the end of the century. Finally, in 1453, the great city of Constantinople fell to the Turks after a siege of several months. After consolidating their power, the Turks prepared to exert renewed pressure on the west, both in the Mediterranean and up the Danube valley toward Vienna. By the end of the fifteenth century, they were threatening Hungary, Austria, Bohemia, and Poland. The Holy Roman Emperor, Charles V, became their bitter enemy in the sixteenth century.

Our survey of European political developments makes it clear that, although individual German or especially Italian princes had developed culturally brilliant states, the future belonged to territorial states organized by national monarchies. They possessed superior resources and were developing institutions that represented the interests of much of the population. Nevertheless, the Renaissance states were still only dynastic states, not nation-states. The interests of a state were the interests



of its ruling dynasty. Loyalty was owed to the ruler, not the state. Residents of France considered themselves subjects of the French king, not citizens of France. Moreover, although Renaissance monarchs were strong rulers centralizing their authority, they were by no means absolute monarchs. Some chance of representative government still remained in the form of Parliament, Estates-General, Cortes, or Reichstag. Monarchs were strongest in the west and, with the exception of the Russian rulers, weakest in the east.

## ◆ The Church in the Renaissance

As a result of the efforts of the Council of Constance, the Great Schism had finally been brought to an end in 1417 (see Chapter 11). The council had had three major objectives: to end the schism, to eradicate heresy, and to reform the church in “head and members.” The ending of the schism proved to be the council’s easiest task; it was much less successful in dealing with the problems of heresy and reform.

### ✿ The Problems of Heresy and Reform

Heresy was, of course, not a new problem, and in the thirteenth century, the church had developed inquisitorial machinery to deal with it. But two widespread movements in the fourteenth and early fifteenth centuries—Lollardy and Hussitism—posed new threats to the church.

English Lollardy was a product of the Oxford theologian John Wyclif (c. 1328–1384), whose disgust with clerical corruption led him to a far-ranging attack on papal authority and medieval Christian beliefs and practices. Wyclif alleged that there was no basis in Scripture for papal claims of temporal authority and advocated that the popes be stripped of both their authority and property. At one point, he even denounced the pope as the Antichrist. Believing that the Bible should be a Christian’s sole authority, Wyclif urged that it be made available in the vernacular languages so that every Christian could read it. Rejecting all practices not mentioned in Scripture, Wyclif condemned pilgrimages, the veneration of saints, and a whole series of rituals and rites that had developed in the medieval church.

Wyclif has sometimes been viewed as a forerunner of the Reformation of the sixteenth century because his arguments attacked the foundations of the medieval Catholic church’s organization and practices. His attacks on church property were especially popular, and he attracted a number of followers who came to be known as Lollards. Persecution by royal and church authorities who feared the socioeconomic consequences of Wyclif’s ideas forced the Lollards to go underground after 1400.

A marriage between the royal families of England and Bohemia enabled Lollard ideas to spread to Bohemia, where they reinforced the ideas of a group of Czech reformers led by the chancellor of the university at Prague, John Hus (1374–1415). In his call for reform, Hus urged the elimination of the worldliness and corruption of the clergy



## C H R O N O L O G Y

### The Church in the Renaissance

Council of Constance	1414–1418
Burning of John Hus	1415
End of the Great Schism	1417
Pius II issues the papal bull <i>Execrabilis</i>	1460
The Renaissance papacy	
Sixtus IV	1471–1484
Alexander VI	1492–1503
Julius II	1503–1513
Leo X	1513–1521

and attacked the excessive power of the papacy within the Catholic church. Hus’s objections fell on receptive ears, since the Catholic church as one of the largest landowners in Bohemia was already widely criticized. Moreover, many clergymen were German, and the native Czechs’ strong resentment of the Germans who dominated Bohemia also contributed to Hus’s movement.

The Council of Constance attempted to deal with the growing problem of heresy by summoning John Hus to the council. Granted a safe conduct by Emperor Sigismund, Hus went in the hope of a free hearing of his ideas. Instead he was arrested, condemned as a heretic (by a narrow vote), and burned at the stake in 1415. This action turned the unrest in Bohemia into revolutionary upheaval. The resulting Hussite wars combined religious, social, and national issues and wracked the Holy Roman Empire until a truce was arranged in 1436.

The reform of the church in “head and members” was even less successful than the attempt to eradicate heresy. Two reform decrees were passed by the Council of Constance. *Sacrosancta* stated that a general council of the church received its authority from God; hence, every Christian, including the pope, was subject to its authority. The decree *Frequens* provided for the regular holding of general councils to ensure that church reform would continue. Taken together, *Sacrosancta* and *Frequens* provided for an ecclesiastical legislative system within the church superior to the popes.

Decrees alone, however, proved insufficient to reform the church. Councils could issue decrees, but popes had to execute them and popes would not cooperate with councils that diminished their authority. Beginning as early as Martin V in 1417, successive popes worked steadfastly for the next thirty years to defeat the conciliar movement. The victory of the popes and the final blow to the conciliar movement came in 1460, when Pope Pius II issued the papal bull *Execrabilis*, condemning appeals to a council over the head of a pope as heretical.

By the mid-fifteenth century, the popes had reasserted their supremacy over the Catholic church. No

longer, however, did they have any possibility of asserting supremacy over temporal governments as the medieval papacy had. Although the papal monarchy had been maintained, it had lost much moral prestige. In the fifteenth century, the Renaissance papacy contributed to an even further decline in the moral leadership of the popes.

### ✿ *The Renaissance Papacy*

Historians use the phrase “Renaissance papacy” to refer to the line of popes from the end of the Great Schism (1417) to the beginnings of the Reformation in the early sixteenth century. The primary concern of the papacy is governing the Catholic church as its spiritual leader. But as heads of the church, popes had temporal preoccupations as well, and the story of the Renaissance papacy is really an account of how the latter came to overshadow the popes’ spiritual functions. In the process, the Renaissance papacy and the Catholic church became noticeably secularized.

The preoccupation of the popes with the territory of the Papal States and Italian politics was not new to the Renaissance. Popes had been temporal as well as spiritual rulers for centuries. The manner in which Renaissance popes pursued their temporal interests, however, especially their use of intrigue, deceit, and open bloodshed, was shocking. Of all the Renaissance popes, Julius II (1503–1513) was most involved in war and politics. The fiery “warrior-pope” personally led armies against his enemies, much to the disgust of pious Christians who viewed the pope as a spiritual leader. The great humanist Erasmus (see Chapter 13) witnessed the triumphant entry of Julius II into Bologna at the head of his troops and later wrote scathing indictments of the papal proclivity for warfare. With Julius II in mind, he proclaimed in *The Complaint of Peace*: “How, O bishop standing in the room of the Apostles, dare you teach the people the things that pertain to war?”

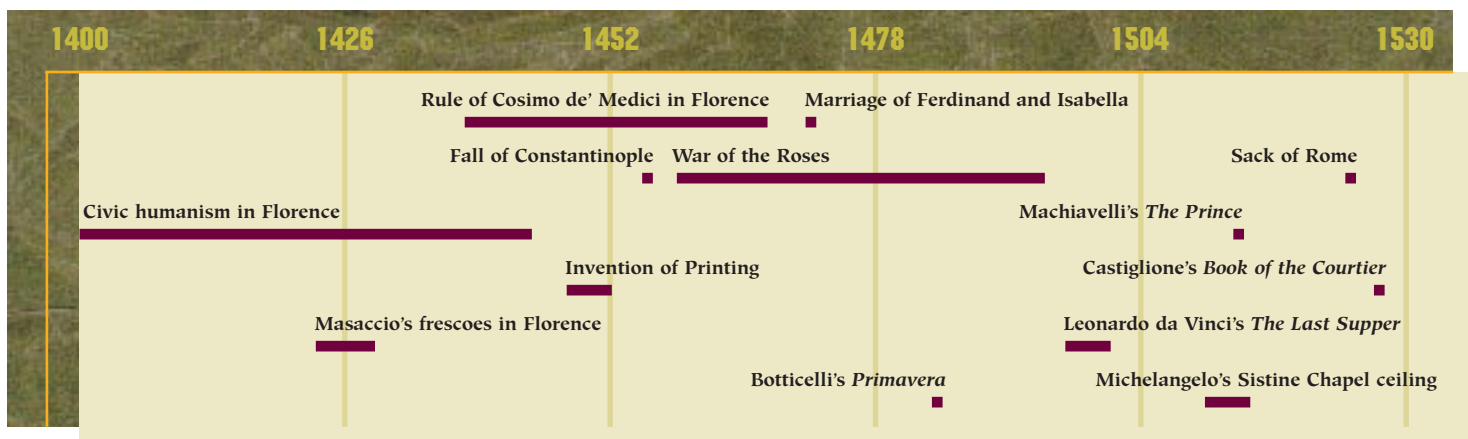
To further their territorial aims in the Papal States, the popes needed financial resources and loyal servants. Preoccupation with finances was not new, but its grossness received considerable comment: “Whenever I entered the chambers of the ecclesiastics of the Papal court, I found brokers and clergy engaged and reckoning money which lay in heaps before them.”<sup>25</sup> Since they were not hereditary monarchs, popes could not build dynasties over several generations and came to rely on the practice of nepotism to promote their families’ interests. Pope Sixtus IV (1471–1484), for example, made five of his nephews cardinals and gave them an abundance of church offices to build up their finances (the word *nepotism* is, in fact, derived from *nepos*, meaning nephew). Alexander VI (1492–1503), a member of the Borgia family who was known for his debauchery and sensuality, raised one son, one nephew, and the brother of one mistress to the cardinalate. A Venetian envoy stated that Alexander, “joyous by nature, thought of nothing but the aggrandizement of his children.” Alexander scandalized the church by encouraging his son Cesare to carve a ter-



**A RENAISSANCE POPE: SIXTUS IV.** The Renaissance popes allowed secular concerns to overshadow their spiritual duties. They became concerned with territorial expansion, finances, and Renaissance culture. Pope Sixtus IV built the Sistine Chapel and later had it decorated by some of the leading artists of his day. This fresco by Melozzo da Forlì shows the pope on his throne receiving the humanist Platina (kneeling), who was keeper of the Vatican Library.

ritorial state in central Italy out of the territories of the Papal States.

The Renaissance popes were great patrons of Renaissance culture, and their efforts made Rome the focal point of the High Renaissance at the beginning of the sixteenth century. For the warrior-pope Julius II, the patronage of Renaissance culture was mostly a matter of policy as he endeavored to add to the splendor of his pontificate by tearing down the Basilica of Saint Peter, which had been built by the emperor Constantine, and beginning construction of the greatest building in Christendom, the present Saint Peter’s Basilica. Julius’s successor, Leo X (1513–1521), was also a patron of Renaissance culture, not as a matter of policy, but as a deeply involved participant. Such might be expected of the son of Lorenzo de’ Medici. Made an archbishop at the age of eight and a cardinal at thirteen, he acquired a refined taste in art, manners, and social life among the Florentine Renaissance elite. He became pope at the age of thirty-seven, supposedly remarking to the Venetian ambassador, “Let us enjoy the papacy, since God has given it to us.” Humanists were made papal secretaries, Raphael was commissioned to do paintings, and the construction of Saint Peter’s was accelerated as Rome became the literary and artistic center of the Renaissance.



## CONCLUSION

Whether the Renaissance represents the end of the Middle Ages or the beginning of a new era, a frequently debated topic among medieval and Renaissance historians, is perhaps an irrelevant question. The Renaissance was a period of transition that witnessed a continuation of the economic, political, and social trends that had begun in the High Middle Ages. It was also a movement in which intellectuals and artists proclaimed a new vision of humankind and raised fundamental questions about the value and importance of the individual. Of course, intellectuals and artists wrote and painted for the upper classes, and the brilliant intellectual, cultural, and artistic accomplishments of the Renaissance were products of and for the elite. The ideas of the Renaissance did not have a broad base among the masses of the people. As Lorenzo the Magnificent, ruler of Florence, once commented: "Only men of noble birth can obtain perfection. The poor, who work with their hands and have no time to cultivate their minds, are incapable of it."

The Renaissance did, however, raise new questions about medieval traditions. In advocating a return to the early sources of Christianity and criticizing current religious practices, the humanists raised fundamental issues about the Catholic church, which was still an important institution. In the sixteenth century, the intellectual revolution of the fifteenth century gave way to a religious renaissance that touched the lives of people, including the masses, in new and profound ways. After the Reformation, Europe would never again be the unified Christian commonwealth it once believed it was.

## NOTES

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